OSEP Part B Regulations
Regional Implementation Meetings

Building the Legacy: IDEA 2004
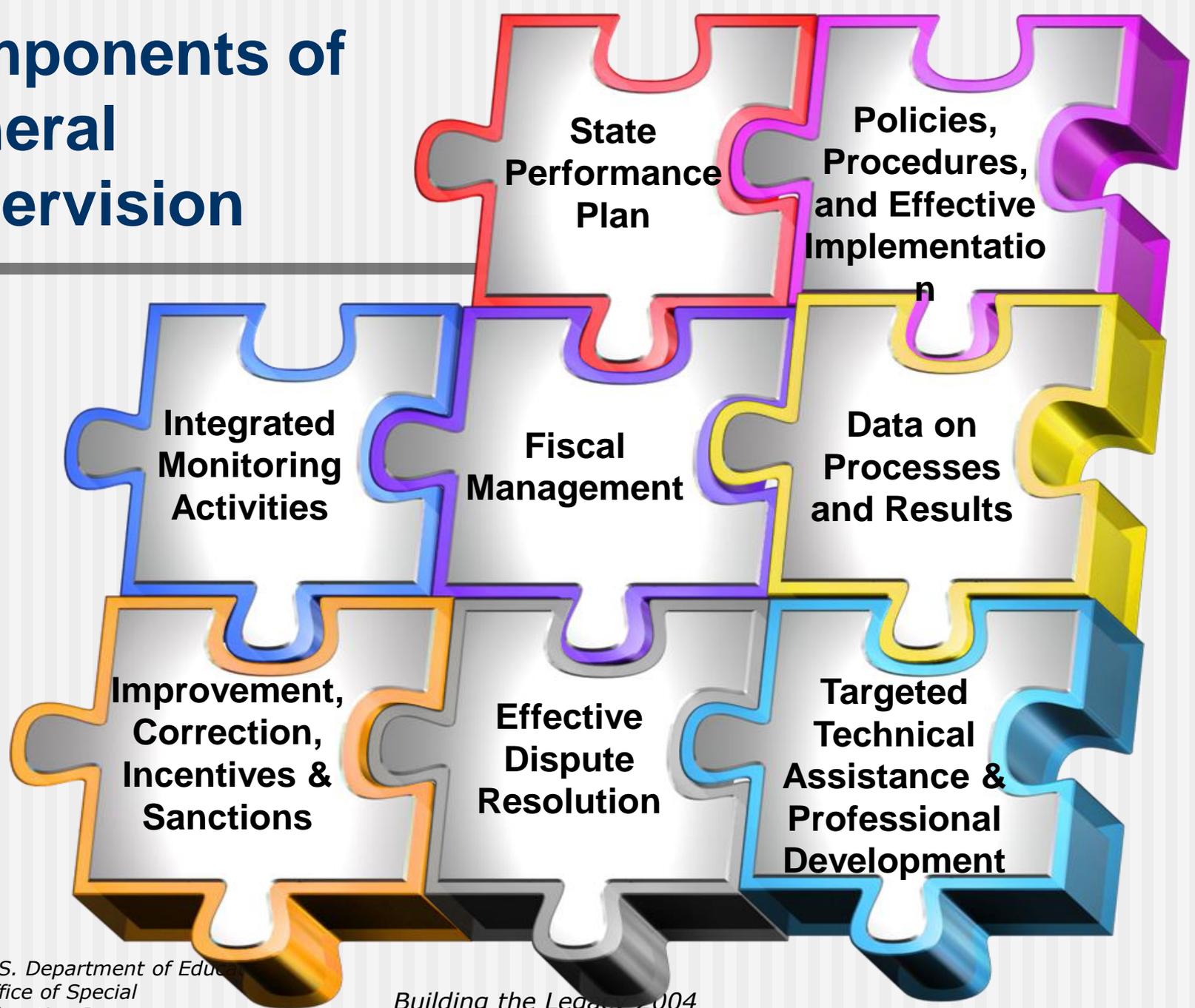
General Supervision:
Developing an Effective System
Implications for States

U.S. Department of Education
Office of Special
Education Programs

No Child
LEFT BEHINDsm

Components of General Supervision

State Performance Plan

Policies, Procedures, and Effective Implementation

Integrated Monitoring Activities

Fiscal Management

Data on Processes and Results

Improvement, Correction, Incentives & Sanctions

Effective Dispute Resolution

Targeted Technical Assistance & Professional Development

Integrated System of General Supervision

- OSEP first presented concept at 2004 National Accountability Conference; Revisited at NAC 2006
- OSEP/RRC/NECTAC Planning Meeting November 2006
- NCSEAM draft "Developing and Implementing an Effective System of General Supervision", Fall 2006
- Kansas City meeting, December 2006

The components

- Each of the Big 8 is required by IDEA, GEPA, etc.

- Most states have established independent components

- States typically develop their own models for meeting general supervision requirements

Why an "Integrated System"?

- **General Supervision system must be accountable for:**
  - improving educational results and functional outcomes
  - ensuring that public agencies meet program requirements

Why an "Integrated System"?

- To be effective, components must
  - connect
  - interact
  - articulate
  - inform each other

How can a state use the General Supervision paper?

- What are the "Big 8"?
- What is the "evidence" to demonstrate a component is part of General Supervision system?
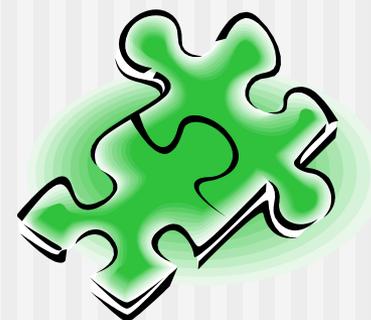
Integration of Big 8 Components

1. State Performance Plan (SPP)
2. Policies, Procedures, and Effective Implementation
3. Data on Processes and Results
4. Targeted Technical Assistance and Professional Development
5. Effective Dispute Resolution
6. Integrated Monitoring Activities
7. Improvement, Correction, Incentives and Sanctions
8. Fiscal Management

This Session

- State Performance Plan (SPP)
- Policies, Procedures and Effective Implementation
- Data on Processes and Results
- Integrated Monitoring Activities

Discussion

- Successes? Remaining challenges?
- Questions, issues?
- Other evidences?
- Further suggestions?

State Performance Plan

- Blueprint for systems change
- All other components must be integrated with SPP

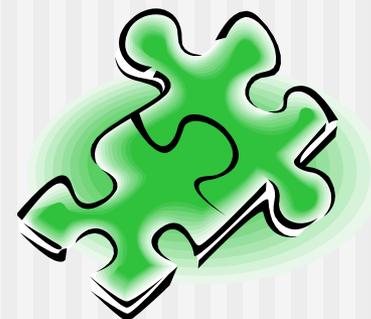# Policies, Procedures and Effective Implementation

- Aligned with IDEA
- Include descriptions of
  - activities to identify noncompliance
  - methods for requiring correction of noncompliance
  - range of sanctions to enforce correction
- establish and maintain specifications for highly qualified personnel

Policies, Procedures and Effective Implementation

- Local educational agency (LEA) policies and procedures aligned with those of state
- LEA policies and procedures designed and implement to improve results
- LEA policies and procedures personnel adequately prepared
- State and LEAs have written policies and procedures in place, including assurances
- Required memoranda of understanding (MOUs) in place and current

Discussion

- Successes? Remaining challenges?
- Questions, issues?
- Other evidences?
- Further suggestions?
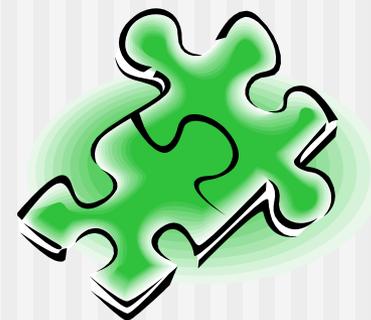
Data on Processes and Results

- Collection and verification
  - 618
  - Dispute resolution
  - Previous monitoring reports
  - Other
- Examination and analyses
  - Areas of state concern
  - Clusters of related indicators

Data on Processes and Results

- **Reporting**
  - Annual Performance Report (APR) (state)
  - LEA performance against state targets
- **Status determination**
- **Improvement**
  - Data are used to plan and revise activities

Discussion

- Successes? Remaining challenges?
- Questions, issues?
- Other evidences?
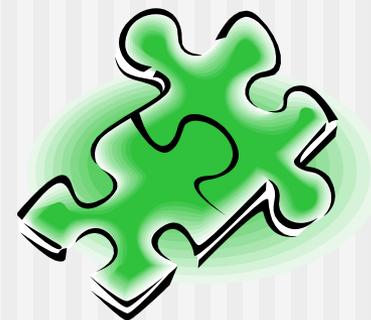- Further suggestions?

Integrated Monitoring Activities

- Stakeholders involved
- Focus on specific hypotheses for areas
- Teams include family members
- Investigation related to compliance and program improvement
- Multiple methods and data sources to monitor every program, every year

Integrated Monitoring Activities

- Activities include continuous examination of performance for compliance and results

- Written reports specify evidence of correction and improvement

- Internal and external TA and professional development support improvement and correction

Discussion

- Successes? Remaining challenges?
- Questions, issues?
- Other evidences?
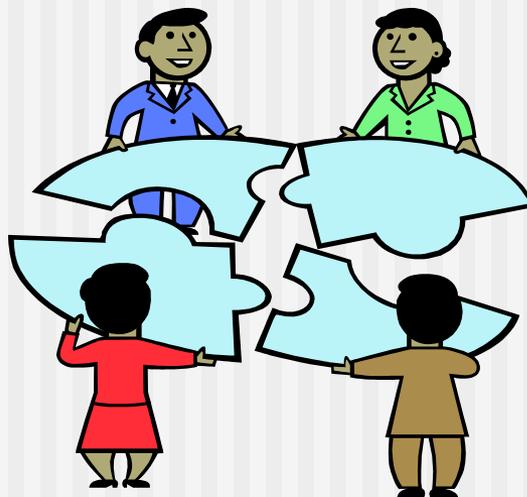- Further suggestions?

Putting the Puzzle Together

- Use the General Supervision paper to:
  - Self-evaluate your state's Big 8 components
  - Improve connections among components to strengthen your General Supervision system.

Benefits

- More efficient and effective state system

- **Improved outcomes for children with disabilities and their families!**

Web Resources

- National Center for Special Education Accountability Monitoring
  http://www.monitoringcenter.lsuhsc.edu/

- Regional Resource and Federal Center Network http://www.rrfcnetwork.org
  - SPP/APR guidance materials

- OSEP Technical Assistance Network

Contact Information

- Ruth Ryder

    ruth.ryder@ed.gov

- Gregg Corr

    gregg.corr@ed.gov