**State Performance Plan / Annual Performance Report: Part B**

**for STATE FORMULA GRANT PROGRAMS under the Individuals with Disabilities Education Act**

**For reporting on   
FFY 2019**

**Federated States of Micronesia**

U.S. Department of Education seal

**PART B DUE   
February 1, 2021**

**U.S. DEPARTMENT OF EDUCATION**

**WASHINGTON, DC 20202**

# Introduction

**Instructions**

Provide sufficient detail to ensure that the Secretary and the public are informed of and understand the State’s systems designed to drive improved results for students with disabilities and to ensure that the State Educational Agency (SEA) and Local Educational Agencies (LEAs) meet the requirements of IDEA Part B. This introduction must include descriptions of the State’s General Supervision System, Technical Assistance System, Professional Development System, Stakeholder Involvement, and Reporting to the Public.

## Intro - Indicator Data

**Executive Summary**

This Executive Summary includes a description of the Federated States of Micronesia (FSM) IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) for FFY 2019. A description of FSM's General Supervision System, Technical Assistance System, Professional Development System, Stakeholder Involvement, and Reporting to the Public are provided separately within this Introduction section of FSM's FFY 2019 SPP/APR.  
  
In FFY 2013, with input from stakeholders, FSM identified targets for FFY 2014 to FFY 2018 for the SPP Results Indicators, with the FFY 2019 SPP Results Indicator targets identifed in the FFY 2018 APR. Targets for Results Indicators 1 to 8 and 14-16 were established, in addition to targets set at 100% for Compliance Indicators 11 and 13. As per OSEP's instructions, the following Indicators do not apply to the FSM: 3A, 4B, 9, 10, and 12. FSM's FFY 2019 APR includes performance for the 11 Results and 2 Compliance Indicators of the 16 SPP Indicator measures that apply to FSM and explanation of slippage for required Indicators that FSM's targets were not met. Although FSM did not meet all Results indicator targets in FFY 2019, stakeholders decided not to revise targets for the FFY 2019 APR.   
  
As per OSEP’s instructions, for Indicator 17, FSM's Part B State Systemic Improvement Plan (SSIP), FSM will submit its FFY 2019 performance and SSIP Phase III, Year Five, no later than April 1, 2021.  
  
FSM’s 2020 Determination issued by USDOE OSEP on June 25, 2020 stated that FSM needs assistance in meeting the requirements and purposes of IDEA Part B. The Determination further reminded FSM to review previous year’s OSEP Response to each indicator and any actions that FSM may be required to take. FSM has reviewed its previous year’s SPP/APR submission, and if required, has provided its actions or responses in the relevant indicators of this FFY 2019 APR.

**Additional information related to data collection and reporting**

Although no cases of the corona virus of 2019 were tested positive in the FSM in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. Impact on school operations included some school closures or shortened hours. FSM NDOE provided guidance on developing IEP amendments to ensure that the IDEA timelines were met. If needed, the IEP reviews continued to be conducted through home visits to ensure that the IDEA timeline requirements were met. With the exception of Indicator 3, FSM was able to collect and report valid and reliable FFY 2019 APR Indicator data even with the COVID-19 pandemic impact on school operations.  
  
In Yap State, the outer islands did not close schools, but the main island closed schools mid-March 2020 until mid-May 2020. In Kosrae State, schools were not closed but school hours were shortened due to the high school being used as a quarantine facility. Kosrae State also invested in upgrading internet connection in the schools and community to support virtual learning, training, and meetings. In Chuuk State and Pohnpei State, schools were closed from Mid-March until the end of the school year, but they ensured that the IDEA timelines were met for all required IEPs.   
  
At the beginning of school year 2020-2021, all schools went back to face-to-face instruction with some modification, such as continued shortened hours in Kosrae State and having cohort groups rotate instructional days in Pohnpei State. As of this report submission, FSM has only identified one COVID-19 positive case which was an imported case on a ship that was an isolated case in the waters. There has not been any community spread from this COVID-19 positive case, and FSM still is in COVID-19 Category 4, operating on a normal schedule.

**Number of Districts in your State/Territory during reporting year**

1

**General Supervision System**

**The systems that are in place to ensure that IDEA Part B requirements are met, e.g., monitoring, dispute resolution, etc.**

The Federated States of Micronesia National Department of Education (FSM-NDOE) is the government entity responsible for the general supervision and monitoring, including the identification of noncompliance with the IDEA requirements, to provide special education and related services for children with disabilities. FSM-NDOE is a unitary education system with the delivery of special education and related services implemented within the four FSM island states: Chuuk, Kosrae, Pohnpei, and Yap. Given FSM’s unique geographic context, NDOE has established a general supervision structure similar to a State Education Agency (SEA) and Local Education Agency (LEA) structure for administering, supervising, and monitoring the implementation of the IDEA requirements.  
  
FSM's administrative structure for the implementation of IDEA Part B requirements includes the NDOE as the SEA and the four FSM islands states as the LEAs. NDOE has three organizational divisions, Division of Formal & Non-Formal Education, Division of Quality & Effectiveness, and Division of Special Services. The Division of Special Services is responsible for the implementation of IDEA Part B requirements and have in place its FSM special education procedural manual and notice of procedural safeguards, consistent with the IDEA Part B requirements, disseminated and implemented in all four LEAs. NDOE also has in place a dispute resolution system that meets the IDEA Part B requirements and implemented in each LEA.  
  
As the SEA, NDOE assures that the IDEA procedural requirements are being met in each LEA. NDOE has developed and implemented a Continuous Improvement Monitoring System (CIMS) as an ongoing mechanism to assess the impact of special education and related services on improving results for children with disabilities in the FSM. The NDOE monitoring system assesses compliance and performance of each LEA based on IDEA 2004, the Part B regulations, OSEP Memorandum 09-02, and FSM Public Law 14-08 of June 2005. FSM Public Law 14-08 provided the amendments to FSM Public Law 8-21 of 1993 ensuring policy alignment with IDEA. Aligned with OSEP’s Continuous Improvement Focused Monitoring System (CIFMS), the FSM CIMS includes two processes for identifying compliance and performance of each LEA utilizing the IDEA Part B SPP indicators and measurements and related IDEA requirements: on-site and off-site monitoring. Both on-site and off-site monitoring involves review and verification of correction of non-compliance and continuing adherence to the requirements from the authorities listed above. In addition, FSM's dispute resolution system data, in particular, complaint and due process hearing requests, are reviewed for the identification of noncompliance findings.  
  
Consistent with OSEP Memo 09-02, for child-specific regulatory noncompliance, demonstration of correction is verified through a review of additional data related to the regulatory citation that demonstrates 100% compliance with the requirement and all child-specific instances of noncompliance verified as corrected. For system noncompliance, evidence of correction of noncompliance includes documentation of revised LEA policies or procedures and/or practices and evidence that such required/recommended policies or procedures and/or practices to be developed, implemented, or revised are in fact implemented. An LEA showing documents or data reports noting correction of noncompliance that are verified will be determined to have corrected noncompliance issued to that respective LEA.

**Technical Assistance System**

**The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to LEAs.**

Given FSM’s unique geographic context, NDOE has in place a mechanism to ensure timely delivery of high quality, evidenced based technical assistance and support to each island state/LEA. NDOE implements a reporting mechanism to identify and prioritize technical assistance and training needs in each LEA through the annual LEA application for IDEA Part B funding, quarterly progress reporting, and periodical leadership meetings, such as SPP/APR and SSIP meetings and NDOE Division of Basic Education and Accreditation meetings or workshops where issues affecting children with disabilities are discussed.  
  
The LEA application includes the development and implementation of a Local Performance Plan (LPP) that is aligned to the FSM SPP and developed with stakeholder input. Each LEA has in place a special education advisory council that meets the membership requirements of the IDEA Part B State Advisory Panel for Special Education. The LEA special education advisory council reviews LEA data and performance on the FSM SPP indicator measures and provides input to LEA target setting and development and implementation of improvement activities. The advisory council reviews the LEA quarterly progress reports of LEA performance on indicator targets before submission to NDOE. The LEA targets are aligned to and support meeting FSM’s SPP targets. The LEA application also includes a budget that reflects the needed funding support for its prioritized improvement activities under each indicator measure.  
  
During the convenings of the FSM National APR Leadership and the SPP/SSIP Leadership teams, both of which comprised of representatives from NDOE and the four LEA advisory councils, both teams reviewed LEA LPP data and information for technical assistance and training implementation and needs. The teams identified LEA-specific needs and national initiatives for allocating resources. NDOE also served as the conduit for accessing local, regional, and national resources, including OSEP-funded centers, to support the LEA-specific and national technical assistance and training needs. In FFY 2019, prior to the COVID-19 pandemic, collaborative technical assistance activities included:  
  
On July 15-18, 2019, NDOE and Project LIFT consultant conducted a Coaches' Institute in conjunction with the 2019 Micronesia Teacher Education Conference (MTEC) held in Pohnpei state. The Coaches' institute focused on professional development planning and implementation at the LEA levels, review and analysis of student data, classroom observation, core reading programs instruction/delivery, and presentations during MTEC break-out sessions. During the training, a Pohnpei Parent Evening was held at the model school where parents of children attending the Pohnpei Project LIFT model school and other school community parents were invited to participate in learning games with their kids. This opportunity allowed the parents and school community folks attending the event to learn more about Project LIFT and Special Education services in general.  
  
On August 5-9. 2019, the FSM Interagency conference was held in Yap and National and State administrators, general and special education teachers and administrators attending the conference met and discussed SPP and LPP implementation status and challenges. FSM National and State Department of Health representatives and non-government agencies such as Chuuk and Pohnpei disability organization, Yap College of Micronesia campus, parents representing all four LEAs, were provided a Special Education program update and an opportunity to plan on improving each LEA's Interagency services for children with disabilities and their parents. The issue on accessibility of built structures and educational environments and related services were prioritized for improvement in 2019-2020.  
  
On October 7-12, 2019, NDOE key staffs and LEA teams participated in a Pacific SSIP collaborative convening on Guam, with all the Pacific entities and OSEP funded TA centers such as National Center on Educational Outcomes (NCEO), National Center for Systemic Improvement (NCSI), National Center on Intensive Intervention (NCII), National Center on Improving Literacy (NCIL), with University of Guam Center for Excellence in Developmental Disabilities Education, Research, and Service (Guam CEDDERS) and the Regional Educational Laboratory-Pacific (REL-Pacific). FSM's Project LIFT consultants also attended to provide immediate consultative and technical assistance to FSM team represented by FSM National and all four state's DOE.   
  
In March 2020, due to the COVID-19 pandemic, NDOE had to switch to providing virtual technical assistance to the LEAs, which continues through the submission of this APR. NDOE held weekly virtual meetings with the LEA special education coordinators to address any program issues and improvement needs. FSM collaborative partners from the US mainland and Guam also switched to facilitating virtual consultation and support in lieu of on-site technical assistance and support.

**Professional Development System**

**The mechanisms the State has in place to ensure that service providers have the skills to effectively provide services that improve results for students with disabilities.**

Given FSM’s unique geographic context, NDOE has mechanisms in place to ensure that service providers have the skills to effectively provide special education and related services that improve results for children with disabilities. With input from the LEAs, NDOE establishes the minimum professional standards and assessment for the certification of all public school teachers and the content standards and assessment for all students. In addition, Title 40 of the FSM code requires all schools in the FSM to meet required minimum standards and undergo a process of accreditation. The purpose of FSM’s accreditation is to ensure all schools provide all students an environment that is conducive to learning, with the ultimate goal to raise the level of student academic performance. This purpose is especially important for effectively providing appropriate services for children with disabilities, as the majority of FSM’s children with disabilities are in general education classrooms for most of the school day.  
  
The FSM accreditation process includes a review of six required minimum standards: (1) Leadership; (2) Teacher Performance; (3) Data Management; (4) National Curriculum Standards, Benchmarks and Student Learning Outcomes; (5) School Campus, Classrooms and Facilities; and (6) School Improvement Planning. The review is designed to help schools improve the educational services and opportunities for students, which includes deliberate professional development for improving teacher performance. Each school, inclusive of early childhood education, develops and implements a School Improvement Plan (SIP - Standard #6). The SIP contains a comprehensive set of data on various aspects of the school, including student achievement and attendance, teacher qualifications and professional development, and resource inventories. These data are analyzed to show trends, strengths, and weaknesses, and to prioritize professional development for administrators and teachers to ensure FSM reaches the ultimate goal of raising academic achievement for all students.  
  
FSM’s Project LIFT (Literacy Intervention for FSM Leaders of Tomorrow) is one of FSM’s major National Initiatives that supports FSM’s accreditation process for improving educational results for children with disabilities, as well as children without disabilities. As FSM’s Response to Intervention (RTI) Initiative, Project LIFT has identified pilot schools to develop and implement the RTI framework within their SIP. Project LIFT purposefully plans for teacher and support personnel training, coaching, and resource supports in the pilot schools for student screening and assessment, student progress monitoring, and research based instructional intervention programs for improving literacy skills for children in early childhood education (ECE) through fifth grade.  
  
NDOE, FSM’s conduit for accessing local, regional, and national resources, has engaged in several OSEP-funded regional professional development grants to improve the knowledge and skills of service providers working with children with disabilities. The Pacific Assessment Consortium (PAC6) served to support the development and implementation of FSM’s Alternate Assessment based on Alternate Achievement Standards (AA-AAS), which included teacher training. The Pacific Consortium for Instructional Materials Accessibility Project (Pacific CIMAP) provided technical support and training for teachers and related service personnel to ensure children with print disabilities have the required timely accessible materials. The Pacific Vision Instruction Project (Pacific VIP), an OSEP personnel preparation grant, is another regional project with the outcome of developing personnel in the area of vision education and orientation and mobility for providing educational services for children with visual impairments. These OSEP-funded grants, to name a few, have had significant impact on FSM’s personnel capacity to provide appropriate services for children with disabilities. In 2017, the College of the Marshall Islands partnered with University of Hawaii at Manoa Center on Disabilities Studies to deliver a bachelor's degree training program on Deaf Education and Severe Disabilities. This project is titled Navigating Student Success in the Pacific (NSSP) and 14 FSM scholars are attending. This bachelor's degree training project will end in Spring 2021.

**Stakeholder Involvement**

**The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**Apply stakeholder involvement from introduction to all Part B results indicators (y/n)**

YES

**Reporting to the Public**

**How and where the State reported to the public on the FFY18 performance of each LEA located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State’s submission of its FFY 2018 APR, as required by 34 CFR §300.602(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State’s SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2018 APR in 2020, is available.**

As a unitary system, FSM reports annually to the public on the progress and/or slippage in meeting the ‘measurable and rigorous targets’ found in its SPP through posting its APR. FSM will post its SPP/APR annually within 120 days following FSM's submission of its SPP/APR, including any revisions if FSM has revised its SPP. FSM posts its complete SPP and all APRs on the following websites: http://www.fsmsped.org/dashboard and http://www.national.doe.fm.

## Intro - Prior FFY Required Actions

In the FFY 2019 SPP/APR, FSM must report FFY 2019 data for the State-identified Measurable Result (SiMR). Additionally, FSM, consistent with its evaluation plan described in Phase II, assess and report on its progress in implementing the SSIP. Specifically, FSM must provide: (1) a narrative or graphic representation of the principal activities implemented in Phase III, Year Five; (2) measures and outcomes that were implemented and achieved since FSM's last SSIP submission (i.e., April 1, 2020); (3) a summary of the SSIP’s coherent improvement strategies, including infrastructure improvement strategies and evidence-based practices that were implemented and progress toward short-term and long-term outcomes that are intended to impact the SiMR; and (4) any supporting data that demonstrates that implementation of these activities is impacting the FSM's capacity to improve its SiMR data.  
  
FSM's IDEA Part B determination for both 2019 and 2020 is Needs Assistance. In FSM's 2020 determination letter, the Department advised FSM of available sources of technical assistance, including OSEP-funded technical assistance centers, and required FSM to work with appropriate entities. The Department directed FSM to determine the results elements and/or compliance indicators, and improvement strategies, on which it will focus its use of available technical assistance, in order to improve its performance. FSM must report, with its FFY 2019 SPP/APR submission, due February 1, 2021, on: (1) the technical assistance sources from which FSM received assistance; and (2) the actions FSM took as a result of that technical assistance.

**Response to actions required in FFY 2018 SPP/APR**

As per OSEP’s instructions, for Indicator 17, FSM's Part B State Systemic Improvement Plan (SSIP), FSM will submit its FFY 2019 SSIP Phase III, Year Five, no later than April 1, 2021, which will include the required FFY 2019 data and information for FSM's SiMR and implementation and evaluation plans.  
  
In response to the required actions indicated in FSM’s 2020 Determination issued by USDOE OSEP on June 25, 2020, FSM provides the following description of: (1) the technical assistance sources from which FSM received assistance; and (2) the actions FSM took as a result of that technical assistance.  
  
Technical Assistance Sources from which FSM received assistance:  
  
FSM received a score of zero for all results elements in its 2020 RDA matrix for assessment participation, graduation rates, and drop-out rates. FSM accessed available technical assistance through the National Center for Systemic Improvement (NCSI), National Center on Educational Outcomes (NCEO), National Center on Intensive Intervention (NCII), National Center on Improving Literacy (NCIL), the Regional Educational Laboratory Pacific (REL-Pacific), and University of Guam CEDDERS. FSM engaged in national and regional training and institutes facilitated by these technical assistance centers, such as the October 2019 Pacific SSIP Collaborative held on Guam. In addition, FSM accessed technical assistance from University of Oregon, University of Minnesota Check & Connect Project, and Sigma Associates, Inc.   
  
Actions FSM took as a result of that technical assistance:  
  
Actions taken by the FSM as a result of the technical assistance received included furthering the support of FSM's SSIP implementation, which focuses on improving reading achievement. Other technical assistance resources accessed supported schools to develop and implement drop-out prevention strategies to reduce the number of drop-outs, and in turn, increase the number of graduates with a high school diploma.

## Intro - OSEP Response

The Federated States of Micronesia's (FSM's) determinations for both 2019 and 2020 were Needs Assistance. Pursuant to section 616(e)(1) of the IDEA and 34 C.F.R. § 300.604(a), OSEP's June 25, 2020 determination letter informed FSM that it must report with its FFY 2019 SPP/APR submission, due February 1, 2021, on: (1) the technical assistance sources from which FSM received assistance; and (2) the actions FSM took as a result of that technical assistance. FSM provided the required information.  
  
Due to the circumstances created by the COVID-19 pandemic, and resulting school closures, FSM does not have any FFY 2019 data for indicator 17.

## Intro - Required Actions

OSEP notes that FSM submitted verification that the attachment(s) complies with Section 508 of the Rehabilitation Act of 1973, as amended (Section 508). However, one or more of the Indicator 17 attachments included in the FSM’s FFY 2019 SPP/APR submission are not in compliance with Section 508 and will not be posted on the U.S. Department of Education’s IDEA website. Therefore, FSM must make the attachment(s) available to the public as soon as practicable, but no later than 120 days after the date of the determination letter.  
  
The FSM's IDEA Part B determination for both 2020 and 2021 is Needs Assistance. In the FSM's 2021 determination letter, the Department advised FSM of available sources of technical assistance, including OSEP-funded technical assistance centers, and required FSM to work with appropriate entities. The Department directed FSM to determine the results elements and/or compliance indicators, and improvement strategies, on which it will focus its use of available technical assistance, in order to improve its performance. FSM must report, with its FFY 2020 SPP/APR submission, due February 1, 2022, on: (1) the technical assistance sources from which FSM received assistance; and (2) the actions FSM took as a result of that technical assistance.

# Indicator 1: Graduation

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Percent of youth with Individualized Education Programs (IEPs) graduating from high school with a regular high school diploma. (20 U.S.C. 1416 (a)(3)(A))

**Data Source**

Same data as used for reporting to the Department of Education (Department) under Title I of the Elementary and Secondary Education Act (ESEA).

**Measurement**

States may report data for children with disabilities using either the four-year adjusted cohort graduation rate required under the ESEA or an extended-year adjusted cohort graduation rate under the ESEA, if the State has established one.

**Instructions**

Sampling is not allowed.

Describe the results of the State’s examination of the data for the year before the reporting year (e.g., for the FFY 2019 SPP/APR, use data from 2018-2019), and compare the results to the target. Provide the actual numbers used in the calculation.

Provide a narrative that describes the conditions youth must meet in order to graduate with a regular high school diploma and, if different, the conditions that youth with IEPs must meet in order to graduate with a regular high school diploma. If there is a difference, explain.

Targets should be the same as the annual graduation rate targets for children with disabilities under Title I of the ESEA.

States must continue to report the four-year adjusted cohort graduation rate for all students and disaggregated by student subgroups including the children with disabilities subgroup, as required under section 1111(h)(1)(C)(iii)(II) of the ESEA, on State report cards under Title I of the ESEA even if they only report an extended-year adjusted cohort graduation rate for the purpose of SPP/APR reporting.

## 1 - Indicator Data

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2017 | 33.08% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target >= | 62.00% | 67.00% | 72.00% |  | 34.00% |
| Data | 93.22% | 68.85% | 73.85% | 33.08% | 34.78% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target >= | 34.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
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On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**Prepopulated Data**

| **Source** | **Date** | **Description** | **Data** |
| --- | --- | --- | --- |
| SY 2018-19 Cohorts for Regulatory Adjusted-Cohort Graduation Rate (EDFacts file spec FS151; Data group 696) | 07/27/2020 | Number of youth with IEPs graduating with a regular diploma | 43 |
| SY 2018-19 Cohorts for Regulatory Adjusted-Cohort Graduation Rate (EDFacts file spec FS151; Data group 696) | 07/27/2020 | Number of youth with IEPs eligible to graduate | 108 |
| SY 2018-19 Regulatory Adjusted Cohort Graduation Rate (EDFacts file spec FS150; Data group 695) | 07/27/2020 | Regulatory four-year adjusted-cohort graduation rate table | 39.81% |

**FFY 2019 SPP/APR Data**

| **Number of youth with IEPs in the current year’s adjusted cohort graduating with a regular diploma** | **Number of youth with IEPs in the current year’s adjusted cohort eligible to graduate** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- |
| 43 | 108 | 34.78% | 34.00% | 39.81% | Met Target | No Slippage |

**Graduation Conditions**

**Choose the length of Adjusted Cohort Graduation Rate your state is using:**

Other

**Provide a narrative that describes the conditions youth must meet in order to graduate with a regular high school diploma and, if different, the conditions that youth with IEPs must meet in order to graduate with a regular high school diploma. If there is a difference, explain*.***

“Graduation with a high school diploma” is defined in the FSM as the completion of required course credits during high school, with each FSM State establishing the required total number of course credits to complete. The following are the graduation requirements for high school credits for each state: Chuuk = 22 credits; Kosrae = 28 credits; Pohnpei = 23 credits; Yap = 22credits for Yap High and 24 credits for Yap Outer Island and Yap Neighboring Island Central High Schools. These requirements are consistent for students with and without disabilities.  
  
As described in the Explanation of Alternate Data section, FSM uses the same data reported to the Department under Section 618 of IDEA to calculate its graduation rates.

**Are the conditions that youth with IEPs must meet to graduate with a regular high school diploma different from the conditions noted above? (yes/no)**

NO

**Provide additional information about this indicator (optional)**

## 1 - Prior FFY Required Actions

None

## 1 - OSEP Response

## 1 - Required Actions

# Indicator 2: Drop Out

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Percent of youth with IEPs dropping out of high school. (20 U.S.C. 1416 (a)(3)(A))

**Data Source**

OPTION 1:

Same data as used for reporting to the Department under section 618 of the Individuals with Disabilities Education Act (IDEA), using the definitions in EDFacts file specification FS009.

OPTION 2:

Use same data source and measurement that the State used to report in its FFY 2010 SPP/APR that was submitted on February 1, 2012.

**Measurement**

OPTION 1:

States must report a percentage using the number of youth with IEPs (ages 14-21) who exited special education due to dropping out in the numerator and the number of all youth with IEPs who left high school (ages 14-21) in the denominator.

OPTION 2:

Use same data source and measurement that the State used to report in its FFY 2010 SPP/APR that was submitted on February 1, 2012.

**Instructions**

Sampling is not allowed.

OPTION 1:

Use 618 exiting data for the year before the reporting year (e.g., for the FFY 2019 SPP/APR, use data from 2018-2019). Include in the denominator the following exiting categories: (a) graduated with a regular high school diploma; (b) received a certificate; (c) reached maximum age; (d) dropped out; or (e) died.

Do not include in the denominator the number of youths with IEPs who exited special education due to: (a) transferring to regular education; or (b) who moved, but are known to be continuing in an educational program.

OPTION 2:

Use the annual event school dropout rate for students leaving a school in a single year determined in accordance with the National Center for Education Statistic's Common Core of Data.

If the State has made or proposes to make changes to the data source or measurement under Option 2, when compared to the information reported in its FFY 2010 SPP/APR submitted on February 1, 2012, the State should include a justification as to why such changes are warranted.

Options 1 and 2:

Data for this indicator are “lag” data. Describe the results of the State’s examination of the data for the year before the reporting year (e.g., for the FFY 2019 SPP/APR, use data from 2018-2019), and compare the results to the target.

Provide a narrative that describes what counts as dropping out for all youth and, if different, what counts as dropping out for youth with IEPs. If there is a difference, explain.

## 2 - Indicator Data

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2008 | 3.00% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target <= | 9.00% | 7.00% | 5.00% | 3.00% | 2.00% |
| Data | 22.69% | 20.33% | 4.14% | 14.62% | 11.83% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target <= | 2.90% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**Please indicate the reporting option used on this indicator**

Option 2

**Prepopulated Data**

| **Source** | **Date** | **Description** | **Data** |
| --- | --- | --- | --- |
| SY 2018-19 Exiting Data Groups (EDFacts file spec FS009; Data Group 85) | 05/27/2020 | Number of youth with IEPs (ages 14-21) who exited special education by graduating with a regular high school diploma (a) | 43 |
| SY 2018-19 Exiting Data Groups (EDFacts file spec FS009; Data Group 85) | 05/27/2020 | Number of youth with IEPs (ages 14-21) who exited special education by receiving a certificate (b) | 0 |
| SY 2018-19 Exiting Data Groups (EDFacts file spec FS009; Data Group 85) | 05/27/2020 | Number of youth with IEPs (ages 14-21) who exited special education by reaching maximum age (c) | 6 |
| SY 2018-19 Exiting Data Groups (EDFacts file spec FS009; Data Group 85) | 05/27/2020 | Number of youth with IEPs (ages 14-21) who exited special education due to dropping out (d) | 54 |
| SY 2018-19 Exiting Data Groups (EDFacts file spec FS009; Data Group 85) | 05/27/2020 | Number of youth with IEPs (ages 14-21) who exited special education as a result of death (e) | 5 |

**Has your State made or proposes to make changes to the data source under Option 2, when compared to the information reported in its FFY 2010 SPP/APR submitted on February 1, 2012? (yes/no)**

NO

**Use a different calculation methodology (yes/no)**

YES

**Change numerator description in data table (yes/no)**

NO

**Change denominator description in data table (yes/no)**

YES

**If use a different calculation methodology is yes, provide an explanation of the different calculation methodology**

FSM chooses Option 2 to report Indicator 2 data. FSM does not report drop-out data to the Department under Title 1 of ESEA. FSM therefore continues to use the high school enrollment calculation to determine FSM’s annual drop-out rate for youth with IEPs in high school. Data for this indicator are "one-year lag" data. FSM used the 2018-2019 high school drop-out and enrollment data to determine FSM's data for this FFY 2019 APR Indicator 2. In 2018-2019, the total number of youth with IEPs in high school was 516; of which, 54 were youth with IEPs who dropped out from high school, representing the same number of drop-outs reported in FSM’s 618 exit data report for that period. For Indicator 2, 54 was the number used as the numerator representing youth with IEPs who dropped out of high school. The total number of youth with IEPs enrolled in high school was 516, which was used as the denominator.

**FFY 2019 SPP/APR Data**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Number of youth with IEPs who exited special education due to dropping out | Total number of High School Students with IEPs. | **FFY** **2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| 54 | 516 | 11.83% | 2.90% | 10.47% | Did Not Meet Target | No Slippage |

**Provide reasons for slippage, if applicable**

**Provide a narrative that describes what counts as dropping out for all youth**

FSM’s drop-out definition is consistent for youth with IEPs and youth without IEPs. Each FSM State Department of Education has policies and procedures in place for counting those youth with IEPs and youth without IEPs who dropped out.  
  
The definition of 'drop-out' in the FSM school systems for all youth is excessive unexcused absences or self-withdrawal, consistent with the IDEA 618 definition of a drop-out. Each FSM State establishes procedures for self-withdrawal and determination of drop-out based on excessive unexcused absences:  
  
Chuuk State: 15 cumulative unexcused absences in the school year.  
Kosrae State: 8 cumulative unexcused absences in the school year.  
Pohnpei State: 25 cumulative unexcused absences in the school year.  
Yap State: 20 consecutive unexcused absences in the school year.

**Is there a difference in what counts as dropping out for youth with IEPs? (yes/no)**

NO

**If yes, explain the difference in what counts as dropping out for youth with IEPs below.**

**Provide additional information about this indicator (optional)**

## 2 - Prior FFY Required Actions

None

## 2 - OSEP Response

## 2 - Required Actions

# Indicator 3B: Participation for Students with IEPs

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator**: Participation and performance of children with IEPs on statewide assessments:

A. Indicator 3A – Reserved

B. Participation rate for children with IEPs

C. Proficiency rate for children with IEPs against grade level and alternate academic achievement standards.

(20 U.S.C. 1416 (a)(3)(A))

**Data Source**

3B. Same data as used for reporting to the Department under Title I of the ESEA, using EDFacts file specifications FS185 and 188.

**Measurement**

B. Participation rate percent = [(# of children with IEPs participating in an assessment) divided by the (total # of children with IEPs enrolled during the testing window)]. Calculate separately for reading and math. The participation rate is based on all children with IEPs, including both children with IEPs enrolled for a full academic year and those not enrolled for a full academic year.

**Instructions**

Describe the results of the calculations and compare the results to the targets. Provide the actual numbers used in the calculation.

Include information regarding where to find public reports of assessment participation and performance results, as required by 34 CFR §300.160(f), i.e., a link to the Web site where these data are reported.

Indicator 3B: Provide separate reading/language arts and mathematics participation rates, inclusive of all ESEA grades assessed (3-8 and high school), for children with IEPs. Account for ALL children with IEPs, in all grades assessed, including children not participating in assessments and those not enrolled for a full academic year. Only include children with disabilities who had an IEP at the time of testing.

## 3B - Indicator Data

**Reporting Group Selection**

**Based on previously reported data, these are the grade groups defined for this indicator.**

| **Group** | **Group Name** | **Grade 3** | **Grade 4** | **Grade 5** | **Grade 6** | **Grade 7** | **Grade 8** | **Grade 9** | **Grade 10** | **Grade 11** | **Grade 12** | **HS** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **A** | Overall | X | X | X | X | X | X | X | X | X | X | X |

**Historical Data: Reading**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Group** | **Group Name** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| **A** | Overall | 2005 | Target >= | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% |
| **A** | Overall | 41.00% | Actual | 50.09% | 57.66% | 56.63% | 78.95% | 61.45% |

**Historical Data: Math**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Group** | **Group Name** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| **A** | Overall | 2005 | Target >= | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% |
| **A** | Overall | 39.00% | Actual | 54.09% | 55.76% | 59.40% | 77.46% | 60.41% |

**Targets**

|  |  |  |  |
| --- | --- | --- | --- |
| **Subject** | **Group** | **Group Name** | **2019** |
| Reading | A >= | Overall | 100.00% |
| Math | A >= | Overall | 100.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

Due to the COVID-19 impact on school operations, FSM did not administer its Spring 2020 state-wide assessments, as with the other educational systems in the U.S. states and entities.

**FFY 2019 Data Disaggregation from EDFacts**

**Include the disaggregated data in your final SPP/APR. (yes/no)**

NO

**Data Source:**

SY 2019-20 Assessment Data Groups - Reading (EDFacts file spec FS188; Data Group: 589)

**Date:**

**Reading Assessment Participation Data by Grade**

| **Grade** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **HS** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| a. Children with IEPs |  |  |  |  |  |  |  |  |  |  |  |
| b. IEPs in regular assessment with no accommodations |  |  |  |  |  |  |  |  |  |  |  |
| c. IEPs in regular assessment with accommodations |  |  |  |  |  |  |  |  |  |  |  |
| f. IEPs in alternate assessment against alternate standards |  |  |  |  |  |  |  |  |  |  |  |

**Data Source:**

SY 2019-20 Assessment Data Groups - Math (EDFacts file spec FS185; Data Group: 588)

**Date:**

**Math Assessment Participation Data by Grade**

| **Grade** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **HS** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| a. Children with IEPs |  |  |  |  |  |  |  |  |  |  |  |
| b. IEPs in regular assessment with no accommodations |  |  |  |  |  |  |  |  |  |  |  |
| c. IEPs in regular assessment with accommodations |  |  |  |  |  |  |  |  |  |  |  |
| f. IEPs in alternate assessment against alternate standards |  |  |  |  |  |  |  |  |  |  |  |

**FFY 2019 SPP/APR Data: Reading Assessment**

| **Group** | **Group Name** | **Number of Children with IEPs** | **Number of Children with IEPs Participating** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **A** | Overall |  |  | 61.45% | 100.00% |  | N/A | N/A |

**FFY 2019 SPP/APR Data: Math Assessment**

| **Group** | **Group Name** | **Number of Children with IEPs** | **Number of Children with IEPs Participating** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **A** | Overall |  |  | 60.41% | 100.00% |  | N/A | N/A |

**Regulatory Information**

**The SEA, (or, in the case of a district-wide assessment, LEA) must make available to the public, and report to the public with the same frequency and in the same detail as it reports on the assessment of nondisabled children: (1) the number of children with disabilities participating in: (a) regular assessments, and the number of those children who were provided accommodations in order to participate in those assessments; and (b) alternate assessments aligned with alternate achievement standards; and (2) the performance of children with disabilities on regular assessments and on alternate assessments, compared with the achievement of all children, including children with disabilities, on those assessments. [20 U.S.C. 1412 (a)(16)(D); 34 CFR §300.160(f)]**

**Public Reporting Information**

**Provide links to the page(s) where you provide public reports of assessment results.**

**Provide additional information about this indicator (optional)**

## 3B - Prior FFY Required Actions

None

## 3B - OSEP Response

Due to the circumstances created by the COVID-19 pandemic, FSM did not report any FFY 2019 data for this indicator.

## 3B - Required Actions

# Indicator 3C: Proficiency for Students with IEPs

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Participation and performance of children with IEPs on statewide assessments:

A. Indicator 3A – Reserved

B. Participation rate for children with IEPs

C. Proficiency rate for children with IEPs against grade level and alternate academic achievement standards.

(20 U.S.C. 1416 (a)(3)(A))

**Data Source**

3C. Same data as used for reporting to the Department under Title I of the ESEA, using EDFacts file specifications FS175 and 178.

**Measurement**

C. Proficiency rate percent = [(# of children with IEPs scoring at or above proficient against grade level and alternate academic achievement standards) divided by the (total # of children with IEPs who received a valid score and for whom a proficiency level was assigned)]. Calculate separately for reading and math. The proficiency rate includes both children with IEPs enrolled for a full academic year and those not enrolled for a full academic year.

**Instructions**

Describe the results of the calculations and compare the results to the targets. Provide the actual numbers used in the calculation.

Include information regarding where to find public reports of assessment participation and performance results, as required by 34 CFR §300.160(f), i.e., a link to the Web site where these data are reported.

Indicator 3C: Proficiency calculations in this SPP/APR must result in proficiency rates for reading/language arts and mathematics assessments (combining regular and alternate) for children with IEPs, in all grades assessed (3-8 and high school), including both children with IEPs enrolled for a full academic year and those not enrolled for a full academic year. Only include children with disabilities who had an IEP at the time of testing.

## 3C - Indicator Data

**Reporting Group Selection**

**Based on previously reported data, these are the grade groups defined for this indicator.**

| **Group** | **Group Name** | **Grade 3** | **Grade 4** | **Grade 5** | **Grade 6** | **Grade 7** | **Grade 8** | **Grade 9** | **Grade 10** | **Grade 11** | **Grade 12** | **HS** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **A** | Overall | X | X | X | X | X | X | X | X | X | X | X |

**Historical Data: Reading**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Group** | **Group Name** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| **A** | Overall | 2005 | Target >= | 4.00% | 6.00% | 8.00% | 10.00% | 10.00% |
| **A** | Overall | 7.00% | Actual | 3.31% | 4.20% | 1.05% | 3.33% | 9.71% |

**Historical Data: Math**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Group** | **Group Name** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| **A** | Overall | 2005 | Target >= | 4.00% | 6.00% | 8.00% | 10.00% | 10.00% |
| **A** | Overall | 3.00% | Actual | 2.05% | 1.09% | 1.06% | 1.82% | 2.11% |

**Targets**

|  |  |  |  |
| --- | --- | --- | --- |
| **Subject** | **Group** | **Group Name** | **2019** |
| Reading | A >= | Overall | 10.00% |
| Math | A >= | Overall | 10.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

Due to the COVID-19 impact on school operations, FSM did not administer its Spring 2020 state-wide assessments, as with the other educational systems in the U.S. states and entities.

**FFY 2019 Data Disaggregation from EDFacts**

**Include the disaggregated data in your final SPP/APR. (yes/no)**

NO

**Data Source:**

SY 2019-20 Assessment Data Groups - Reading (EDFacts file spec FS178; Data Group: 584)

**Date:**

**Reading Proficiency Data by Grade**

| **Grade** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **HS** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| a. Children with IEPs who received a valid score and a proficiency was assigned |  |  |  |  |  |  |  |  |  |  |  |
| b. IEPs in regular assessment with no accommodations scored at or above proficient against grade level |  |  |  |  |  |  |  |  |  |  |  |
| c. IEPs in regular assessment with accommodations scored at or above proficient against grade level |  |  |  |  |  |  |  |  |  |  |  |
| f. IEPs in alternate assessment against alternate standards scored at or above proficient against grade level |  |  |  |  |  |  |  |  |  |  |  |

**Data Source:**

SY 2019-20 Assessment Data Groups - Math (EDFacts file spec FS175; Data Group: 583)

**Date:**

**Math Proficiency Data by Grade**

| **Grade** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **HS** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| a. Children with IEPs who received a valid score and a proficiency was assigned |  |  |  |  |  |  |  |  |  |  |  |
| b. IEPs in regular assessment with no accommodations scored at or above proficient against grade level |  |  |  |  |  |  |  |  |  |  |  |
| c. IEPs in regular assessment with accommodations scored at or above proficient against grade level |  |  |  |  |  |  |  |  |  |  |  |
| f. IEPs in alternate assessment against alternate standards scored at or above proficient against grade level |  |  |  |  |  |  |  |  |  |  |  |

**FFY 2019 SPP/APR Data: Reading Assessment**

| **Group** | **Group Name** | **Children with IEPs who received a valid score and a proficiency was assigned** | **Number of Children with IEPs Proficient** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **A** | Overall |  |  | 9.71% | 10.00% |  | N/A | N/A |

**FFY 2019 SPP/APR Data: Math Assessment**

| **Group** | **Group Name** | **Children with IEPs who received a valid score and a proficiency was assigned** | **Number of Children with IEPs Proficient** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **A** | Overall |  |  | 2.11% | 10.00% |  | N/A | N/A |

**Regulatory Information**

**The SEA, (or, in the case of a district-wide assessment, LEA) must make available to the public, and report to the public with the same frequency and in the same detail as it reports on the assessment of nondisabled children: (1) the number of children with disabilities participating in: (a) regular assessments, and the number of those children who were provided accommodations in order to participate in those assessments; and (b) alternate assessments aligned with alternate achievement standards; and (2) the performance of children with disabilities on regular assessments and on alternate assessments, compared with the achievement of all children, including children with disabilities, on those assessments. [20 U.S.C. 1412 (a)(16)(D); 34 CFR §300.160(f)]**

**Public Reporting Information**

**Provide links to the page(s) where you provide public reports of assessment results.**

**Provide additional information about this indicator (optional)**

## 3C - Prior FFY Required Actions

None

## 3C - OSEP Response

Due to the circumstances created by the COVID-19 pandemic, FSM did not report any FFY 2019 data for this indicator.

## 3C - Required Actions

# Indicator 4A: Suspension/Expulsion

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results Indicator:** Rates of suspension and expulsion:

A. Percent of districts that have a significant discrepancy in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs

(20 U.S.C. 1416(a)(3)(A); 1412(a)(22))

**Data Source**

State discipline data, including State’s analysis of State’s Discipline data collected under IDEA Section 618, where applicable. Discrepancy can be computed by either comparing the rates of suspensions and expulsions for children with IEPs to rates for nondisabled children within the LEA or by comparing the rates of suspensions and expulsions for children with IEPs among LEAs within the State.

**Measurement**

Percent = [(# of districts that meet the State-established n size (if applicable) that have a significant discrepancy in the rates of suspensions and expulsions for greater than 10 days in a school year of children with IEPs) divided by the (# of districts in the State that meet the State-established n size (if applicable))] times 100.

Include State’s definition of “significant discrepancy.”

**Instructions**

If the State has established a minimum n size requirement, the State may only include, in both the numerator and the denominator, districts that met that State-established n size. If the State used a minimum n size requirement, report the number of districts excluded from the calculation as a result of this requirement.

Describe the results of the State’s examination of the data for the year before the reporting year (e.g., for the FFY 2019 SPP/APR, use data from 2018-2019), including data disaggregated by race and ethnicity to determine if significant discrepancies are occurring in the rates of long-term suspensions and expulsions of children with IEPs, as required at 20 U.S.C. 1412(a)(22). The State’s examination must include one of the following comparisons:

--The rates of suspensions and expulsions for children with IEPs among LEAs within the State; or

--The rates of suspensions and expulsions for children with IEPs to nondisabled children within the LEAs

In the description, specify which method the State used to determine possible discrepancies and explain what constitutes those discrepancies.

Indicator 4A: Provide the actual numbers used in the calculation (based upon districts that met the minimum n size requirement, if applicable). If significant discrepancies occurred, describe how the State educational agency reviewed and, if appropriate, revised (or required the affected local educational agency to revise) its policies, procedures, and practices relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards, to ensure that such policies, procedures, and practices comply with applicable requirements.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If discrepancies occurred and the district with discrepancies had policies, procedures or practices that contributed to the significant discrepancy and that do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards, describe how the State ensured that such policies, procedures, and practices were revised to comply with applicable requirements consistent with the Office of Special Education Programs (OSEP) Memorandum 09-02, dated October 17, 2008.

If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.

If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for 2018-2019), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

## 4A - Indicator Data

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2005 | 0.00% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target <= | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| Data | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target <= | 0.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**FFY 2019 SPP/APR Data**

**Has the state established a minimum n-size requirement? (yes/no)**

NO

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Number of districts that have a significant discrepancy** | **Number of districts in the State** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| 0 | 1 | 0.00% | 0.00% | 0.00% | Met Target | No Slippage |

**Choose one of the following comparison methodologies to determine whether significant discrepancies are occurring (34 CFR §300.170(a))**

Compare the rates of suspensions and expulsions of greater than 10 days in a school year for children with IEPs among LEAs in the State

**State’s definition of “significant discrepancy” and methodology**

The Federated States of Micronesia, National Department of Education (FSM NDOE) is a unitary education system with the delivery of special education and related services implemented in the four FSM island states: Chuuk, Kosrae, Pohnpei, and Yap. Given FSM's unique geographic context, NDOE has established a general supervision structure similar to a State Education Agency (SEA) and Local Education Agency (LEA) structure for administering, supervising, and monitoring the implementation of the Individuals with Disabilities Education Act (IDEA) requirements. NDOE serves as the SEA responsible for the general supervision of special education and related services delivered in the four island states through their Department of Education, which serve as the LEAs. FSM is therefore using the 4A calculation methodology of comparing the rates of suspensions and expulsions of greater than 10 days in a school year for children with IEPs among the four LEAs in FSM; while still reporting FSM as a unitary system - one district.  
  
FSM’s definition of “significant discrepancy” is a 2% difference between the four island states or LEAs. This is calculated by determining each LEA’s rate and then analyzing the rates to determine if any LEA’s rate is 2% more than the lowest LEA rate. A review of the data from year to year will provide additional information for revising, if needed, FSM’s “significant discrepancy” definition. This annual review will be conducted because FSM has been reporting in previous years “0” suspension/expulsion for greater than 10 days for children with disabilities.   
  
In 2018-2019, FSM reported "2" long-term suspension/expulsion greater than 10 days in its 618 Discipline data report for one FSM state/LEA. The percentage calculated for this LEA was 1.34% (2/149). The other three LEAs did not report any long-term suspension/expulsion greater than 10 days. The difference between the LEAs therefore did not exceed the 2% "significant discrepancy" rate definition.

**Provide additional information about this indicator (optional)**

**Review of Policies, Procedures, and Practices (completed in FFY 2019 using 2018-2019 data)**

**Provide a description of the review of policies, procedures, and practices relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards.**

The State DID NOT identify noncompliance with Part B requirements as a result of the review required by 34 CFR §300.170(b)

**Correction of Findings of Noncompliance Identified in FFY 2018**

| **Findings of Noncompliance Identified** | **Findings of Noncompliance Verified as Corrected Within One Year** | **Findings of Noncompliance Subsequently Corrected** | **Findings Not Yet Verified as Corrected** |
| --- | --- | --- | --- |
| 0 |  |  | 0 |

**Correction of Findings of Noncompliance Identified Prior to FFY 2018**

| **Year Findings of Noncompliance Were Identified** | **Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2018 APR** | **Findings of Noncompliance Verified as Corrected** | **Findings Not Yet Verified as Corrected** |
| --- | --- | --- | --- |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## 4A - Prior FFY Required Actions

None

## 4A - OSEP Response

## 4A - Required Actions

# Indicator 4B: Suspension/Expulsion

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Compliance Indicator:** Rates of suspension and expulsion:

B. Percent of districts that have: (a) a significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards.

(20 U.S.C. 1416(a)(3)(A); 1412(a)(22))

**Data Source**

State discipline data, including State’s analysis of State’s Discipline data collected under IDEA Section 618, where applicable. Discrepancy can be computed by either comparing the rates of suspensions and expulsions for children with IEPs to rates for nondisabled children within the LEA or by comparing the rates of suspensions and expulsions for children with IEPs among LEAs within the State.

**Measurement**

Percent = [(# of districts that meet the State-established n size (if applicable) for one or more racial/ethnic groups that have: (a) a significant discrepancy, by race or ethnicity, in the rates of suspensions and expulsions of greater than 10 days in a school year of children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards) divided by the (# of districts in the State that meet the State-established n size (if applicable) for one or more racial/ethnic groups)] times 100.

Include State’s definition of “significant discrepancy.”

**Instructions**

If the State has established a minimum n size requirement, the State may only include, in both the numerator and the denominator, districts that met that State-established n size. If the State used a minimum n size requirement, report the number of districts excluded from the calculation as a result of this requirement.

Describe the results of the State’s examination of the data for the year before the reporting year (e.g., for the FFY 2019 SPP/APR, use data from 2018-2019), including data disaggregated by race and ethnicity to determine if significant discrepancies are occurring in the rates of long-term suspensions and expulsions of children with IEPs, as required at 20 U.S.C. 1412(a)(22). The State’s examination must include one of the following comparisons

--The rates of suspensions and expulsions for children with IEPs among LEAs within the State; or

--The rates of suspensions and expulsions for children with IEPs to nondisabled children within the LEAs

In the description, specify which method the State used to determine possible discrepancies and explain what constitutes those discrepancies.

Indicator 4B: Provide the following: (a) the number of districts that met the State-established n size (if applicable) for one or more racial/ethnic groups that have a significant discrepancy, by race or ethnicity, in the rates of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and (b) the number of those districts in which policies, procedures or practices contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If discrepancies occurred and the district with discrepancies had policies, procedures or practices that contributed to the significant discrepancy and that do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards, describe how the State ensured that such policies, procedures, and practices were revised to comply with applicable requirements consistent with the Office of Special Education Programs (OSEP) Memorandum 09-02, dated October 17, 2008.

If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.

If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for 2018-2019), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

Targets must be 0% for 4B.

## 4B - Indicator Data

**Not Applicable**

**Select yes if this indicator is not applicable.**

YES

**Provide an explanation of why it is not applicable below:**

Per OSEP's instructions, Indicator 4B is not applicable to FSM.

## 4B - Prior FFY Required Actions

None

## 4B - OSEP Response

This Indicator is not applicable to FSM.

## 4B- Required Actions

# Indicator 5: Education Environments (children 6-21)

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Education environments (children 6-21): Percent of children with IEPs aged 6 through 21 served:

A. Inside the regular class 80% or more of the day;

B. Inside the regular class less than 40% of the day; and

C. In separate schools, residential facilities, or homebound/hospital placements.

(20 U.S.C. 1416(a)(3)(A))

**Data Source**

Same data as used for reporting to the Department under section 618 of the IDEA, using the definitions in EDFacts file specification FS002.

**Measurement**

Percent = [(# of children with IEPs aged 6 through 21 served inside the regular class 80% or more of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.

Percent = [(# of children with IEPs aged 6 through 21 served inside the regular class less than 40% of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.

Percent = [(# of children with IEPs aged 6 through 21 served in separate schools, residential facilities, or homebound/hospital placements) divided by the (total # of students aged 6 through 21 with IEPs)]times 100.

**Instructions**

Sampling from the State’s 618 data is not allowed.

Describe the results of the calculations and compare the results to the target.

If the data reported in this indicator are not the same as the State’s data reported under section 618 of the IDEA, explain.

## 5 - Indicator Data

**Historical Data**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Part** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| A | 2005 | Target >= | 92.00% | 92.50% | 92.75% | 93.00% | 93.50% |
| A | 93.00% | Data | 94.04% | 94.41% | 95.00% | 93.72% | 94.20% |
| B | 2005 | Target <= | 2.20% | 1.70% | 1.20% | 1.00% | 0.00% |
| B | 0.00% | Data | 0.78% | 0.31% | 0.32% | 0.35% | 0.24% |
| C | 2005 | Target <= | 3.00% | 3.00% | 3.00% | 3.00% | 3.00% |
| C | 7.00% | Data | 4.10% | 3.66% | 3.89% | 5.24% | 5.03% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target A >= | 93.50% |
| Target B <= | 0.00% |
| Target C <= | 3.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**Prepopulated Data**

| **Source** | **Date** | **Description** | **Data** |
| --- | --- | --- | --- |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS002; Data group 74) | 07/08/2020 | Total number of children with IEPs aged 6 through 21 | 1,623 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS002; Data group 74) | 07/08/2020 | A. Number of children with IEPs aged 6 through 21 inside the regular class 80% or more of the day | 1,491 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS002; Data group 74) | 07/08/2020 | B. Number of children with IEPs aged 6 through 21 inside the regular class less than 40% of the day | 4 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS002; Data group 74) | 07/08/2020 | c1. Number of children with IEPs aged 6 through 21 in separate schools | 4 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS002; Data group 74) | 07/08/2020 | c2. Number of children with IEPs aged 6 through 21 in residential facilities | 0 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS002; Data group 74) | 07/08/2020 | c3. Number of children with IEPs aged 6 through 21 in homebound/hospital placements | 79 |

**Select yes if the data reported in this indicator are not the same as the State’s data reported under section 618 of the IDEA.**

NO

**FFY 2019 SPP/APR Data**

| **Education Environments** | **Number of children with IEPs aged 6 through 21 served** | **Total number of children with IEPs aged 6 through 21** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| A. Number of children with IEPs aged 6 through 21 inside the regular class 80% or more of the day | 1,491 | 1,623 | 94.20% | 93.50% | 91.87% | Did Not Meet Target | Slippage |
| B. Number of children with IEPs aged 6 through 21 inside the regular class less than 40% of the day | 4 | 1,623 | 0.24% | 0.00% | 0.25% | Did Not Meet Target | No Slippage |
| C. Number of children with IEPs aged 6 through 21 inside separate schools, residential facilities, or homebound/hospital placements [c1+c2+c3] | 83 | 1,623 | 5.03% | 3.00% | 5.11% | Did Not Meet Target | No Slippage |

**Use a different calculation methodology (yes/no)**

NO

| **Part** | **Reasons for slippage, if applicable** |
| --- | --- |
| **A** | It is understood that the determination of where students receive their special education and related services is an individualized decision based on the IEP Team.  The reason for the slippage or the decrease in the number of students with an IEP under 5A therefore was due to the IEP Team determining that these students required additional supports through special education resource room services, which would be accounted for under the 40% to 79% inside the regular class LRE category. As reported, FSM's FFY 2019 5B and 5C data were similar to its FFY 2018 5B and 5C data with no slippage from year to year.  It should be noted that FSM's performance of 91.87% for 5A in FFY 2019 is significantly above the national data of 66.28% in FFY 2018 for Indicator 5A based on OSEP's 2020 FFY 2018 SPP/APR Indicator Analysis Booklet. |

**Provide additional information about this indicator (optional)**

## 5 - Prior FFY Required Actions

None

## 5 - OSEP Response

## 5 - Required Actions

# Indicator 6: Preschool Environments

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Preschool environments: Percent of children aged 3 through 5 with IEPs attending a:

A. Regular early childhood program and receiving the majority of special education and related services in the regular early childhood program; and

B. Separate special education class, separate school or residential facility.

(20 U.S.C. 1416(a)(3)(A))

**Data Source**

Same data as used for reporting to the Department under section 618 of the IDEA, using the definitions in EDFacts file specification FS089.

**Measurement**

Percent = [(# of children aged 3 through 5 with IEPs attending a regular early childhood program and receiving the majority of special education and related services in the regular early childhood program) divided by the (total # of children aged 3 through 5 with IEPs)] times 100.

Percent = [(# of children aged 3 through 5 with IEPs attending a separate special education class, separate school or residential facility) divided by the (total # of children aged 3 through 5 with IEPs)] times 100.

**Instructions**

Sampling from the State’s 618 data is not allowed.

Describe the results of the calculations and compare the results to the target.

If the data reported in this indicator are not the same as the State’s data reported under section 618 of the IDEA, explain.

## 6 - Indicator Data

**Not Applicable**

**Select yes if this indicator is not applicable.**

NO

**Historical Data**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Part** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| A | 2011 | Target >= | 75.00% | 80.00% | 85.00% | 88.00% | 90.00% |
| A | 88.50% | Data | 67.67% | 67.67% | 82.39% | 81.90% | 81.94% |
| B | 2011 | Target <= | 0.70% | 0.70% | 0.70% | 0.70% | 0.60% |
| B | 0.70% | Data | 2.26% | 2.26% | 0.00% | 0.00% | 0.00% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target A >= | 90.00% |
| Target B <= | 0.60% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**Prepopulated Data**

| **Source** | **Date** | **Description** | **Data** |
| --- | --- | --- | --- |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS089; Data group 613) | 07/08/2020 | Total number of children with IEPs aged 3 through 5 | 131 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS089; Data group 613) | 07/08/2020 | a1. Number of children attending a regular early childhood program and receiving the majority of special education and related services in the regular early childhood program | 111 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS089; Data group 613) | 07/08/2020 | b1. Number of children attending separate special education class | 0 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS089; Data group 613) | 07/08/2020 | b2. Number of children attending separate school | 0 |
| SY 2019-20 Child Count/Educational Environment Data Groups (EDFacts file spec FS089; Data group 613) | 07/08/2020 | b3. Number of children attending residential facility | 0 |

**Select yes if the data reported in this indicator are not the same as the State’s data reported under section 618 of the IDEA.**

NO

**FFY 2019 SPP/APR Data**

| **Preschool Environments** | **Number of children with IEPs aged 3 through 5 served** | **Total number of children with IEPs aged 3 through 5** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| A. A regular early childhood program and receiving the majority of special education and related services in the regular early childhood program | 111 | 131 | 81.94% | 90.00% | 84.73% | Did Not Meet Target | No Slippage |
| B. Separate special education class, separate school or residential facility | 0 | 131 | 0.00% | 0.60% | 0.00% | Met Target | No Slippage |

**Use a different calculation methodology (yes/no)**

NO

**Provide additional information about this indicator (optional)**

## 6 - Prior FFY Required Actions

None

## 6 - OSEP Response

## 6 - Required Actions

# Indicator 7: Preschool Outcomes

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Percent of preschool children aged 3 through 5 with IEPs who demonstrate improved:

A. Positive social-emotional skills (including social relationships);

B. Acquisition and use of knowledge and skills (including early language/ communication and early literacy); and

C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416 (a)(3)(A))

**Data Source**

State selected data source.

**Measurement**

Outcomes:

A. Positive social-emotional skills (including social relationships);

B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and

C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by (# of preschool children with IEPs assessed)] times 100.

b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of preschool children with IEPs assessed)] times 100.

c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of preschool children with IEPs assessed)] times 100.

d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = [(# of preschool children who improved functioning to reach a level comparable to same-aged peers) divided by (# of preschool children with IEPs assessed)] times 100.

e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = [(# of preschool children who maintained functioning at a level comparable to same-aged peers) divided by (# of preschool children with IEPs assessed)] times 100.

**Summary Statements for Each of the Three Outcomes:**

**Summary Statement 1**: Of those preschool children who entered the preschool program below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.

**Measurement for Summary Statement 1:** Percent = [(# of preschool children reported in progress category (c) plus # of preschool children reported in category (d)) divided by (# of preschool children reported in progress category (a) plus # of preschool children reported in progress category (b) plus # of preschool children reported in progress category (c) plus # of preschool children reported in progress category (d))] times 100.

**Summary Statement 2:** The percent of preschool children who were functioning within age expectations in each Outcome by the time they turned 6 years of age or exited the program.

**Measurement for Summary Statement 2**: Percent = [(# of preschool children reported in progress category (d) plus # of preschool children reported in progress category (e)) divided by (the total # of preschool children reported in progress categories (a) + (b) + (c) + (d) + (e))] times 100.

**Instructions**

Sampling of **children for assessment** is allowed. When sampling is used, submit a description of the sampling methodology outlining how the design will yield valid and reliable estimates. (See General Instructions on page 2 for additional instructions on sampling.)

In the measurement include, in the numerator and denominator, only children who received special education and related services for at least six months during the age span of three through five years.

Describe the results of the calculations and compare the results to the targets. States will use the progress categories for each of the three Outcomes to calculate and report the two Summary Statements. States have provided targets for the two Summary Statements for the three Outcomes (six numbers for targets for each FFY).

Report progress data and calculate Summary Statements to compare against the six targets. Provide the actual numbers and percentages for the five reporting categories for each of the three outcomes.

In presenting results, provide the criteria for defining “comparable to same-aged peers.” If a State is using the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS), then the criteria for defining “comparable to same-aged peers” has been defined as a child who has been assigned a score of 6 or 7 on the COS.

In addition, list the instruments and procedures used to gather data for this indicator, including if the State is using the ECO COS.

## 7 - Indicator Data

**Not Applicable**

**Select yes if this indicator is not applicable.**

NO

**Historical Data**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Part** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| A1 | 2008 | Target >= | 83.00% | 83.00% | 85.00% | 85.00% | 85.00% |
| A1 | 79.50% | Data | 87.00% | 80.60% | 89.86% | 100.00% | 96.55% |
| A2 | 2008 | Target >= | 71.00% | 71.00% | 71.00% | 71.00% | 71.00% |
| A2 | 65.00% | Data | 59.05% | 54.88% | 78.75% | 79.31% | 59.38% |
| B1 | 2008 | Target >= | 78.25% | 78.25% | 78.50% | 78.50% | 80.25% |
| B1 | 80.00% | Data | 87.13% | 85.53% | 92.11% | 96.55% | 96.88% |
| B2 | 2008 | Target >= | 62.00% | 63.00% | 64.00% | 65.00% | 65.25% |
| B2 | 65.00% | Data | 48.57% | 51.22% | 65.00% | 58.62% | 50.00% |
| C1 | 2008 | Target >= | 85.00% | 86.00% | 86.00% | 87.00% | 87.25% |
| C1 | 87.00% | Data | 85.86% | 86.57% | 91.78% | 92.86% | 100.00% |
| C2 | 2008 | Target >= | 75.00% | 75.00% | 75.00% | 75.00% | 75.00% |
| C2 | 68.30% | Data | 54.29% | 59.76% | 68.75% | 62.07% | 59.38% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target A1 >= | 87.00% |
| Target A2 >= | 71.00% |
| Target B1 >= | 90.00% |
| Target B2 >= | 65.25% |
| Target C1 >= | 90.00% |
| Target C2 >= | 75.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**FFY 2019 SPP/APR Data**

**Number of preschool children aged 3 through 5 with IEPs assessed**

66

**Outcome A: Positive social-emotional skills (including social relationships)**

| **Outcome A Progress Category** | **Number of children** | **Percentage of Children** |
| --- | --- | --- |
| a. Preschool children who did not improve functioning | 0 | 0.00% |
| b. Preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers | 0 | 0.00% |
| c. Preschool children who improved functioning to a level nearer to same-aged peers but did not reach it | 10 | 15.15% |
| d. Preschool children who improved functioning to reach a level comparable to same-aged peers | 50 | 75.76% |
| e. Preschool children who maintained functioning at a level comparable to same-aged peers | 6 | 9.09% |

| **Outcome A** | **Numerator** | **Denominator** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program. *Calculation:(c+d)/(a+b+c+d)* | 60 | 60 | 96.55% | 87.00% | 100.00% | Met Target | No Slippage |
| A2. The percent of preschool children who were functioning within age expectations in Outcome A by the time they turned 6 years of age or exited the program. *Calculation: (d+e)/(a+b+c+d+e)* | 56 | 66 | 59.38% | 71.00% | 84.85% | Met Target | No Slippage |

**Outcome B: Acquisition and use of knowledge and skills (including early language/communication)**

| **Outcome B Progress Category** | **Number of Children** | **Percentage of Children** |
| --- | --- | --- |
| a. Preschool children who did not improve functioning | 0 | 0.00% |
| b. Preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers | 1 | 1.52% |
| c. Preschool children who improved functioning to a level nearer to same-aged peers but did not reach it | 15 | 22.73% |
| d. Preschool children who improved functioning to reach a level comparable to same-aged peers | 44 | 66.67% |
| e. Preschool children who maintained functioning at a level comparable to same-aged peers | 6 | 9.09% |

| **Outcome B** | **Numerator** | **Denominator** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program. *Calculation: (c+d)/(a+b+c+d)* | 59 | 60 | 96.88% | 90.00% | 98.33% | Met Target | No Slippage |
| B2. The percent of preschool children who were functioning within age expectations in Outcome B by the time they turned 6 years of age or exited the program. *Calculation: (d+e)/(a+b+c+d+e)* | 50 | 66 | 50.00% | 65.25% | 75.76% | Met Target | No Slippage |

**Outcome C: Use of appropriate behaviors to meet their needs**

| **Outcome C Progress Category** | **Number of Children** | **Percentage of Children** |
| --- | --- | --- |
| a. Preschool children who did not improve functioning | 0 | 0.00% |
| b. Preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers | 1 | 1.52% |
| c. Preschool children who improved functioning to a level nearer to same-aged peers but did not reach it | 12 | 18.18% |
| d. Preschool children who improved functioning to reach a level comparable to same-aged peers | 50 | 75.76% |
| e. Preschool children who maintained functioning at a level comparable to same-aged peers | 3 | 4.55% |

| **Outcome C** | **Numerator** | **Denominator** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.  *Calculation:(c+d)/(a+b+c+d)* | 62 | 63 | 100.00% | 90.00% | 98.41% | Met Target | No Slippage |
| C2. The percent of preschool children who were functioning within age expectations in Outcome C by the time they turned 6 years of age or exited the program.  *Calculation: (d+e)/(a+b+c+d+e)* | 53 | 66 | 59.38% | 75.00% | 80.30% | Met Target | No Slippage |

**Does the State include in the numerator and denominator only children who received special education and related services for at least six months during the age span of three through five years? (yes/no)**

YES

| **Sampling Question** | **Yes / No** |
| --- | --- |
| Was sampling used? | NO |

**Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COS) process? (yes/no)**

YES

**List the instruments and procedures used to gather data for this indicator.**

FSM continues to use the Early Childhood Outcomes Center's Child Outcomes Summary (COS) to report on a child's progress in the three outcome measures. A child who rates 6 or 7 is considered to be developing at age "comparable to age peers." The child's IEP Team, including the parent, Related Service Assistants (RSAs), and teachers, complete the COS. FSM uses multiple sources of information to assist the IEP Team in completing the COS, such as the FSM Inventory of Development (FSM-ID), parent interview, medical reports, evaluation reports, and teacher observations. The Special Education Coordinator from each FSM State/LEA, with assistance of the FSM National Department of Education (NDOE), Special Education Office monitors the implementation of the Early Childhood Outcome Measurement System guidelines to ensure the process for gathering the data are accurate, includes all children who meet the criteria for the measurements, and conducted within the specified timelines.

**Provide additional information about this indicator (optional)**

## 7 - Prior FFY Required Actions

None

## 7 - OSEP Response

## 7 - Required Actions

# Indicator 8: Parent involvement

**Instructions and Measurement**

**Monitoring Priority:** FAPE in the LRE

**Results indicator:** Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.

(20 U.S.C. 1416(a)(3)(A))

**Data Source**

State selected data source.

**Measurement**

Percent = [(# of respondent parents who report schools facilitated parent involvement as a means of improving services and results for children with disabilities) divided by the (total # of respondent parents of children with disabilities)] times 100.

**Instructions**

Sampling **of parents from whom response is requested** is allowed. When sampling is used, submit a description of the sampling methodology outlining how the design will yield valid and reliable estimates. (See General Instructions on page 2 for additional instructions on sampling.)

Describe the results of the calculations and compare the results to the target.

Provide the actual numbers used in the calculation.

If the State is using a separate data collection methodology for preschool children, the State must provide separate baseline data, targets, and actual target data or discuss the procedures used to combine data from school age and preschool data collection methodologies in a manner that is valid and reliable.

While a survey is not required for this indicator, a State using a survey must submit a copy of any new or revised survey with its SPP/APR.

Report the number of parents to whom the surveys were distributed.

Include the State’s analysis of the extent to which the demographics of the parents responding are representative of the demographics of children receiving special education services. States should consider categories such as race and ethnicity, age of the student, disability category, and geographic location in the State.

If the analysis shows that the demographics of the parents responding are not representative of the demographics of children receiving special education services in the State, describe the strategies that the State will use to ensure that in the future the response data are representative of those demographics. In identifying such strategies, the State should consider factors such as how the State distributed the survey to parents (e.g., by mail, by e-mail, on-line, by telephone, in-person through school personnel), and how responses were collected.

States are encouraged to work in collaboration with their OSEP-funded parent centers in collecting data.

## 8 - Indicator Data

| **Question** | **Yes / No** |
| --- | --- |
| Do you use a separate data collection methodology for preschool children? | NO |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2005 | 39.00% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target >= | 60.00% | 61.00% | 62.00% | 63.00% | 64.00% |
| Data | 58.58% | 59.23% | 67.49% | 61.00% | 55.20% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target >= | 64.00% |

**FFY 2019 SPP/APR Data**

| **Number of respondent parents who report schools facilitated parent involvement as a means of improving services and results for children with disabilities** | **Total number of respondent parents of children with disabilities** | | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 964 | | 1,726 | 55.20% | 64.00% | 55.85% | Did Not Meet Target | No Slippage |

**The number of parents to whom the surveys were distributed.**

1,761

**Percentage of respondent parents**

98.01%

**Since the State did not report preschool children separately, discuss the procedures used to combine data from school age and preschool surveys in a manner that is valid and reliable.**

The FSM parent survey used in FFY 2018 was the same as in previous years. The survey was an adapted version of the Early Childhood Outcomes (ECO) parent survey. The same process for dissemination and collection was conducted for parents of preschool-aged children with IEPs as with the school-age group.

| **Sampling Question** | **Yes / No** |
| --- | --- |
| Was sampling used? | NO |

| **Survey Question** | **Yes / No** |
| --- | --- |
| Was a survey used? | YES |
| If yes, is it a new or revised survey? | NO |
| The demographics of the parents responding are representative of the demographics of children receiving special education services. | YES |

**Include the State’s analyses of the extent to which the demographics of the parents responding are representative of the demographics of children receiving special education services.**

The FSM parent survey used in FFY 2019 was the same as in previous years. The survey was an adapted version of the Early Childhood Outcomes (ECO) parent survey. The same process for dissemination and collection was conducted for parents of preschool-aged children with IEPs as with the school-age group.   
  
Data Calculation Method. FSM used the same adapted ECO survey as in previous years. There are a total of six questions in the “FSM Parent Survey” related to parent involvement in their child’s education as a means of improving the services and results for children with disabilities. The six survey items request parents to choose one of three response categories: 1) satisfied/understood/included; 2) somewhat/ sometimes; or 3) not enough or never. Survey Item #1 asks the following: Have you been included as a full partner in making decisions about your child’s special education program? A response of "included" in the first response category for Survey Item #1 was used as the numerator to determine the percentage of parent respondents who reported that school facilitated parental involvement.   
  
Data Collection Method: As in previous years, each FSM State/LEA facilitated the data collection process for disseminating and collecting the parent surveys from parents of children with an IEP at the preschool, elementary, and secondary levels.   
  
Due to the COVID-19 pandemic, the data collection process was different in two of the four LEAs: Pohnpei State and Chuuk State. Due to school closures, these two LEAs utilized their special education staff to conduct the surveys via interviews through community or home visits. These two LEAs maximized the use of email and ship delivery to disseminate and collect the parent surveys from the outer islands. One of the LEAs included special education staff on the COVID-19 team that went to the outer islands via ship to share information about the COVID-19. The special education staff on the ship were able to meet with the parents to conduct and collect the surveys from island to island. The other two LEAs, Yap State and Kosrae State, continued their process of utilizing the Inter-Agency Council parent members to conduct the parent surveys via interviews, as in previous years. In all four LEAs, parents were given the option of having the surveys in their native language or in English or to have the survey read to them in their vernacular language to increase their understanding of the survey questions.  
  
It should be noted that the FSM NDOE and LEAs are working closely to ensure distance learning materials/packets aligned to the curriculum are being developed to be disseminated to the families. This is an ongoing effort with UNICEF and Micronesia Production to be able to respond to the learning needs of all students during public health emergencies, such as the COVID-19 pandemic.  
  
FSM’s FFY 2019 response rate was 98.01% (1726/1761), a similar response rate compared to last year’s response rate at 98.60% (1692/1716). The overall number of respondents is significant given the geographic remoteness of some areas within the FSM. All four island states or LEAs reported a high percentage of returned surveys, with two states/LEAs returning 100% of the surveys, one at 97.39%, and the fourth state/LEA at 95.46%. FSM, therefore, demonstrated geographic, ethnic, and racial representation in respondents for its FFY 2019 parent survey compared to the demographics of children receiving special education services.

**Provide additional information about this indicator (optional)**

## 8 - Prior FFY Required Actions

None

## 8 - OSEP Response

## 8 - Required Actions

# Indicator 9: Disproportionate Representation

**Instructions and Measurement**

**Monitoring Priority:** Disproportionality

**Compliance indicator**: Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.

(20 U.S.C. 1416(a)(3)(C))

**Data Source**

State’s analysis, based on State’s Child Count data collected under IDEA section 618, to determine if the disproportionate representation of racial and ethnic groups in special education and related services was the result of inappropriate identification.

**Measurement**

Percent = [(# of districts, that meet the State-established n and/or cell size (if applicable) for one or more racial/ethnic groups, with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification) divided by the (# of districts in the State that meet the State-established n and/or cell size (if applicable) for one or more racial/ethnic groups)] times 100.

Include State’s definition of “disproportionate representation.” Please specify in your definition: 1) the calculation method(s) being used (i.e., risk ratio, weighted risk ratio, e-formula, etc.); and 2) the threshold at which disproportionate representation is identified. Also include, as appropriate, 3) the number of years of data used in the calculation; and 4) any minimum cell and/or n-sizes (i.e., risk numerator and/or risk denominator).

Based on its review of the 618 data for FFY 2018, describe how the State made its annual determination as to whether the disproportionate representation it identified of racial and ethnic groups in special education and related services was the result of inappropriate identification as required by 34 CFR §§300.600(d)(3) and 300.602(a), e.g., using monitoring data; reviewing policies, practices and procedures, etc. In determining disproportionate representation, analyze data, for each district, for all racial and ethnic groups in the district, or all racial and ethnic groups in the district that meet a minimum n and/or cell size set by the State. Report on the percent of districts in which disproportionate representation of racial and ethnic groups in special education and related services is the result of inappropriate identification, even if the determination of inappropriate identification was made after the end of the FFY 2019 reporting period (i.e., after June 30, 2020).

**Instructions**

Provide racial/ethnic disproportionality data for all children aged 6 through 21 served under IDEA, aggregated across all disability categories.

States are not required to report on underrepresentation.

If the State has established a minimum n and/or cell size requirement, the State may only include, in both the numerator and the denominator, districts that met that State-established n and/or cell size. If the State used a minimum n and/or cell size requirement, report the number of districts totally excluded from the calculation as a result of this requirement because the district did not meet the minimum n and/or cell size for any racial/ethnic group.

Consider using multiple methods in calculating disproportionate representation of racial and ethnic groups to reduce the risk of overlooking potential problems. Describe the method(s) used to calculate disproportionate representation.

Provide the number of districts that met the State-established n and/or cell size (if applicable) for one or more racial/ethnic groups identified with disproportionate representation of racial and ethnic groups in special education and related services and the number of those districts identified with disproportionate representation that is the result of inappropriate identification.

Targets must be 0%.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken. If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for FFY 2018), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

## 9 - Indicator Data

**Not Applicable**

**Select yes if this indicator is not applicable.**

YES

**Provide an explanation of why it is not applicable below.**

Per OSEP's instructions, Indicator 9 is not applicable to FSM.

## 9 - Prior FFY Required Actions

None

## 9 - OSEP Response

This Indicator is not applicable to FSM.

## 9 - Required Actions

# Indicator 10: Disproportionate Representation in Specific Disability Categories

**Instructions and Measurement**

**Monitoring Priority:** Disproportionality

**Compliance indicator**: Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification.

(20 U.S.C. 1416(a)(3)(C))

**Data Source**

State’s analysis, based on State’s Child Count data collected under IDEA section 618, to determine if the disproportionate representation of racial and ethnic groups in specific disability categories was the result of inappropriate identification.

**Measurement**

Percent = [(# of districts, that meet the State-established n and/or cell size (if applicable) for one or more racial/ethnic groups, with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification) divided by the (# of districts in the State that meet the State-established n and/or cell size (if applicable) for one or more racial/ethnic groups)] times 100.

Include State’s definition of “disproportionate representation.” Please specify in your definition: 1) the calculation method(s) being used (i.e., risk ratio, weighted risk ratio, e-formula, etc.); and 2) the threshold at which disproportionate representation is identified. Also include, as appropriate, 3) the number of years of data used in the calculation; and 4) any minimum cell and/or n-sizes (i.e., risk numerator and/or risk denominator).

Based on its review of the 618 data for FFY 2019, describe how the State made its annual determination as to whether the disproportionate representation it identified of racial and ethnic groups in specific disability categories was the result of inappropriate identification as required by 34 CFR §§300.600(d)(3) and 300.602(a), e.g., using monitoring data; reviewing policies, practices and procedures, etc. In determining disproportionate representation, analyze data, for each district, for all racial and ethnic groups in the district, or all racial and ethnic groups in the district that meet a minimum n and/or cell size set by the State. Report on the percent of districts in which disproportionate representation of racial and ethnic groups in special education and related services is the result of inappropriate identification, even if the determination of inappropriate identification was made after the end of the FFY 2019 reporting period (i.e., after June 30, 2020).

**Instructions**

Provide racial/ethnic disproportionality data for all children aged 6 through 21 served under IDEA, aggregated across all disability categories.

States are not required to report on underrepresentation.

If the State has established a minimum n and/or cell size requirement, the State may only include, in both the numerator and the denominator, districts that met that State-established n and/or cell size. If the State used a minimum n and/or cell size requirement, report the number of districts totally excluded from the calculation as a result of this requirement because the district did not meet the minimum n and/or cell size for any racial/ethnic group.

Consider using multiple methods in calculating disproportionate representation of racial and ethnic groups to reduce the risk of overlooking potential problems. Describe the method(s) used to calculate disproportionate representation.

Provide the number of districts that met the State-established n and/or cell size (if applicable) for one or more racial/ethnic groups identified with disproportionate representation of racial and ethnic groups in special education and related services and the number of those districts identified with disproportionate representation that is the result of inappropriate identification.

Targets must be 0%.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.

If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for FFY 2018), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

## 10 - Indicator Data

**Not Applicable**

**Select yes if this indicator is not applicable.**

YES

**Provide an explanation of why it is not applicable below**

Per OSEP's instructions, Indicator 10 is not applicable to FSM.

## 10 - Prior FFY Required Actions

None

## 10 - OSEP Response

This Indicator is not applicable to FSM.

## 10 - Required Actions

# Indicator 11: Child Find

**Instructions and Measurement**

**Monitoring Priority**: Effective General Supervision Part B / Child Find

**Compliance indicator**: Percent of children who were evaluated within 60 days of receiving parental consent for initial evaluation or, if the State establishes a timeframe within which the evaluation must be conducted, within that timeframe.

(20 U.S.C. 1416(a)(3)(B))

**Data Source**

Data to be taken from State monitoring or State data system and must be based on actual, not an average, number of days. Indicate if the State has established a timeline and, if so, what is the State’s timeline for initial evaluations.

**Measurement**

a. # of children for whom parental consent to evaluate was received.

b. # of children whose evaluations were completed within 60 days (or State-established timeline).

Account for children included in (a), but not included in (b). Indicate the range of days beyond the timeline when the evaluation was completed and any reasons for the delays.

Percent = [(b) divided by (a)] times 100.

**Instructions**

If data are from State monitoring, describe the method used to select LEAs for monitoring. If data are from a State database, include data for the entire reporting year.

Describe the results of the calculations and compare the results to the target. Describe the method used to collect these data, and if data are from the State’s monitoring, describe the procedures used to collect these data. Provide the actual numbers used in the calculation.

Note that under 34 CFR §300.301(d), the timeframe set for initial evaluation does not apply to a public agency if: (1) the parent of a child repeatedly fails or refuses to produce the child for the evaluation; or (2) a child enrolls in a school of another public agency after the timeframe for initial evaluations has begun, and prior to a determination by the child’s previous public agency as to whether the child is a child with a disability. States should not report these exceptions in either the numerator (b) or denominator (a). If the State-established timeframe provides for exceptions through State regulation or policy, describe cases falling within those exceptions and include in b.

Targets must be 100%.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.

If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for FFY 2018), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

## 11 - Indicator Data

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2005 | 95.00% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target | 100% | 100% | 100% | 100% | 100% |
| Data | 96.62% | 99.07% | 100.00% | 100.00% | 97.59% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target | 100% |

**FFY 2019 SPP/APR Data**

| **(a) Number of children for whom parental consent to evaluate was received** | **(b) Number of children whose evaluations were completed within 60 days (or State-established timeline)** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- |
| 290 | 284 | 97.59% | 100% | 97.93% | Did Not Meet Target | No Slippage |

**Number of children included in (a) but not included in (b)**

6

**Account for children included in (a) but not included in (b). Indicate the range of days beyond the timeline when the evaluation was completed and any reasons for the delays.**

In FFY 2019, FSM reported 97.93% (284/290) significant compliance for Indicator 11, which represented six initial evaluations over timeline. The number of days beyond the timeline for all six initial evaluations was 1- 28 days over the 60-day timeline requirement. It should be noted that although late, all six initial evaluations were completed over timeline, as indicated in the "Account for children included in (a) but not included in (b)" section of this Indicator. The reason for the delay was due to non-adherence to the FSM Special Education Procedures, where teachers reported uncertainty of who was responsible for each step in the IEP process.   
  
The range of days beyond the timeline for the six initial evaluations completed over timeline included:  
  
Range of Days Over Timeline and # of Initial Evaluations Over Timeline  
1 day - 2  
6 days - 2  
12 days - 1  
28 days -1

**Indicate the evaluation timeline used:**

The State used the 60 day timeframe within which the evaluation must be conducted

**What is the source of the data provided for this indicator?**

State database that includes data for the entire reporting year

**Describe the method used to collect these data, and if data are from the State’s monitoring, describe the procedures used to collect these data.**

Data Source: The evaluation data were taken from the FSM Student Information Tracking System (SITS) database system of all children for whom a parental consent to evaluate was received for the report year July 1, 2019 to June 30, 2020. The evaluation data are collected through each FSM State/LEA inputting the completion dates into the web-based FSM SITS, based on the completed FSM IDEA procedural forms.

**Provide additional information about this indicator (optional)**

**Correction of Findings of Noncompliance Identified in FFY 2018**

| **Findings of Noncompliance Identified** | **Findings of Noncompliance Verified as Corrected Within One Year** | **Findings of Noncompliance Subsequently Corrected** | **Findings Not Yet Verified as Corrected** |
| --- | --- | --- | --- |
| 7 | 7 | 0 | 0 |

**FFY 2018 Findings of Noncompliance Verified as Corrected**

**Describe how the State verified that the source of noncompliance is correctly implementing the *regulatory requirements***

In FFY 2018, FSM reported 97.59% (284/291) substantial compliance for Indicator 11, which included seven initial evaluations over timeline. As reported in FSM’s FFY 2018 APR Indicator 11, all seven initial evaluations over timeline were completed between 1 day to 22 days over the 60-day timeline requirement. These seven individual noncompliance cases were from one FSM State/LEA, which resulted in FSM NDOE, the SEA, issuing a written notice of findings of noncompliance to the one LEA. Verification of correction to ensure that the LEA is correctly implementing the regulatory requirements was done through a review of the IEP documents, an on-site monitoring visit conducted in February 2020, and through the quarterly review of the Indicator 11 data in the FSM Student Information Tracking System (SITS). The on-site monitoring visit allowed the SEA to determine the source or issue of the noncompliance to provide technical assistance to the LEA. In addition, throughout the FFY 2019 reporting year, the quarterly reviews of subsequent or additional Indicator 11 data were monitored to ensure that the LEA was implementing the Indicator 11 regulatory 60-day timeline requirement. The LEA was able to demonstrate 100% compliance with Indicator 11 60-day timeline requirement, consistent with OSEP Memo 09-02. In fact, for FSM’s FFY 2019 APR Indicator 11 data, the LEA reported 100% compliance for the reporting period.

**Describe how the State verified that each *individual case* of noncompliance was corrected**

As reported in FSM’s FFY 2018 APR Indicator 11, all seven initial evaluations over timeline were completed between 1 day to 22 days over the 60-day timeline requirement. These seven individual noncompliance cases were from one FSM State/LEA. FSM NDOE verified that each individual case of noncompliance was corrected through a review of the actual IEP documents sent to the FSM NDOE as a result of the findings of noncompliance issued to the LEA, and as reflected in the FSM SITS. In addition, FSM NDOE’s on-site monitoring visit conducted in February 2020 provided technical assistance on the procedural requirements. The individual case verification therefore was done through a review of the IEP documents of the seven individual cases and the data entered into the FSM SITS. This is consistent with the individual case verification of correction requirement in OSEP Memo 09-02.

**Correction of Findings of Noncompliance Identified Prior to FFY 2018**

| **Year Findings of Noncompliance Were Identified** | **Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2018 APR** | **Findings of Noncompliance Verified as Corrected** | **Findings Not Yet Verified as Corrected** |
| --- | --- | --- | --- |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## 11 - Prior FFY Required Actions

None

## 11 - OSEP Response

## 11 - Required Actions

Because FSM reported less than 100% compliance for FFY 2019, the FSM must report on the status of correction of noncompliance identified in FFY 2019 for this indicator. When reporting on the correction of noncompliance, FSM must report, in the FFY 2020 SPP/APR, that it has verified that each LEA with noncompliance identified in FFY 2019 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02. In the FFY 2020 SPP/APR, FSM must describe the specific actions that were taken to verify the correction.  
  
If the FSM did not identify any findings of noncompliance in FFY 2019, although its FFY 2019 data reflect less than 100% compliance, provide an explanation of why FSM did not identify any findings of noncompliance in FFY 2019.

# Indicator 12: Early Childhood Transition

**Instructions and Measurement**

**Monitoring Priorit**y: Effective General Supervision Part B / Effective Transition

**Compliance indicator**: Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.

(20 U.S.C. 1416(a)(3)(B))

**Data Source**

Data to be taken from State monitoring or State data system.

**Measurement**

a. # of children who have been served in Part C and referred to Part B for Part B eligibility determination.

b. # of those referred determined to be NOT eligible and whose eligibility was determined prior to their third birthdays.

c. # of those found eligible who have an IEP developed and implemented by their third birthdays.

d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services or to whom exceptions under 34 CFR §300.301(d) applied.

e. # of children determined to be eligible for early intervention services under Part C less than 90 days before their third birthdays.

f. # of children whose parents chose to continue early intervention services beyond the child’s third birthday through a State’s policy under 34 CFR §303.211 or a similar State option.

Account for children included in (a), but not included in b, c, d, e, or f. Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed, and the reasons for the delays.

Percent = [(c) divided by (a - b - d - e - f)] times 100.

**Instructions**

If data are from State monitoring, describe the method used to select LEAs for monitoring. If data are from a State database, include data for the entire reporting year.

Describe the results of the calculations and compare the results to the target. Describe the method used to collect these data, and if data are from the State’s monitoring, describe the procedures used to collect these data. Provide the actual numbers used in the calculation.

Category f is to be used only by States that have an approved policy for providing parents the option of continuing early intervention services beyond the child’s third birthday under 34 CFR §303.211 or a similar State option.

Targets must be 100%.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.

If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for FFY 2018), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

## 12 - Indicator Data

**Not Applicable**

**Select yes if this indicator is not applicable.**

YES

**Provide an explanation of why it is not applicable below.**

Per OSEP's instructions, Indicator 12 is not applicable to FSM. FSM is not an eligible entity under IDEA Part C.

## 12 - Prior FFY Required Actions

None

## 12 - OSEP Response

This Indicator is not applicable to FSM.

## 12 - Required Actions

# Indicator 13: Secondary Transition

**Instructions and Measurement**

**Monitoring Priority**: Effective General Supervision Part B / Effective Transition

**Compliance indicator**: Secondary transition: Percent of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student’s transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority.

(20 U.S.C. 1416(a)(3)(B))

**Data Source**

Data to be taken from State monitoring or State data system.

**Measurement**

Percent = [(# of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student’s transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority) divided by the (# of youth with an IEP age 16 and above)] times 100.

If a State’s policies and procedures provide that public agencies must meet these requirements at an age younger than 16, the State may, but is not required to, choose to include youth beginning at that younger age in its data for this indicator. If a State chooses to do this, it must state this clearly in its SPP/APR and ensure that its baseline data are based on youth beginning at that younger age.

**Instructions**

If data are from State monitoring, describe the method used to select LEAs for monitoring. If data are from a State database, include data for the entire reporting year.

Describe the results of the calculations and compare the results to the target. Describe the method used to collect these data and if data are from the State’s monitoring, describe the procedures used to collect these data. Provide the actual numbers used in the calculation.

Targets must be 100%.

Provide detailed information about the timely correction of noncompliance as noted in OSEP’s response for the previous SPP/APR. If the State did not ensure timely correction of the previous noncompliance, provide information on the extent to which noncompliance was subsequently corrected (more than one year after identification). In addition, provide information regarding the nature of any continuing noncompliance, improvement activities completed (e.g., review of policies and procedures, technical assistance, training, etc.) and any enforcement actions that were taken.

If the State reported less than 100% compliance for the previous reporting period (e.g., for the FFY 2019 SPP/APR, the data for FFY 2018), and the State did not identify any findings of noncompliance, provide an explanation of why the State did not identify any findings of noncompliance.

## 13 - Indicator Data

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2009 | 88.00% |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target | 100% | 100% | 100% | 100% | 100% |
| Data | 100.00% | 100.00% | 100.00% | 100.00% | 100.00% |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target | 100% |

**FFY 2019 SPP/APR Data**

| **Number of youth aged 16 and above with IEPs that contain each of the required components for secondary transition** | **Number of youth with IEPs aged 16 and above** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- |
| 324 | 324 | 100.00% | 100% | 100.00% | Met Target | No Slippage |

**What is the source of the data provided for this indicator?**

State database that includes data for the entire reporting year

**Describe the method used to collect these data, and if data are from the State’s monitoring, describe the procedures used to collect these data.**

Data Source: The secondary transition data were taken from the completed Transition Services Record Review Summary forms of all youth with IEPs aged 16 and above for the report year July 1, 2019 to June 30, 2020. These completed forms were submitted to FSM-National Department of Education (NDOE). FSM-NDOE verified the submitted data with the youth with IEPs aged 16 and above in the FSM Student Information Tracking System (SITS) for the reporting year.

| **Question** | **Yes / No** |
| --- | --- |
| Do the State’s policies and procedures provide that public agencies must meet these requirements at an age younger than 16? | YES |
| If yes, did the State choose to include youth at an age younger than 16 in its data for this indicator and ensure that its baseline data are based on youth beginning at that younger age? | NO |

**If no, please explain**

FSM chooses to continue reporting Indicator 13 data for youth aged 16 and above with IEPs.

**Provide additional information about this indicator (optional)**

In FFY 2019, FSM was able to continue reporting 100% compliance with the Indicator 13 requirements. The COVID-19 pandemic did impact school operations with some school closures or shortened hours beginning mid-March 2020. FSM NDOE provided guidance on developing IEP amendments to ensure that the IDEA timelines were met. If needed, the IEP reviews continued to be conducted through home visits to ensure that the IDEA timeline requirements were met. Specifically, in Yap State, the outer islands did not close schools, but the main island closed schools mid-March 2020 until mid-May 2020. In Kosrae State, schools were not closed but school hours were shortened due to the high school being used as a quarantine facility. Kosrae State also invested in upgrading internet connection in the schools and community to support virtual learning, training, and meetings. In Chuuk State and Pohnpei State, schools were closed from Mid-March until the end of the school year, but they ensured that the IDEA timelines were met for all required IEPs.   
  
In addition, it should be noted that Yap State closed schools in September and October 2019 due to the Dengue fever public health emergency. All schools in Yap were closed for these two months.  
  
At the beginning of school year 2020-2021, all schools went back to face-to-face instruction with some modification, such as continued shortened hours in Kosrae State and having cohort groups rotate instructional days in Pohnpei State. As of this report submission, FSM has only identified one COVID-19 positive case which was an imported case on a ship that was an isolated case in the waters. There has not been any community spread from this COVID-19 positive case, and FSM still is in COVID-19 Category 4, operating on a normal schedule.

**Correction of Findings of Noncompliance Identified in FFY 2018**

| **Findings of Noncompliance Identified** | **Findings of Noncompliance Verified as Corrected Within One Year** | **Findings of Noncompliance Subsequently Corrected** | **Findings Not Yet Verified as Corrected** |
| --- | --- | --- | --- |
| 0 | 0 | 0 | 0 |

**Correction of Findings of Noncompliance Identified Prior to FFY 2018**

| **Year Findings of Noncompliance Were Identified** | **Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2018 APR** | **Findings of Noncompliance Verified as Corrected** | **Findings Not Yet Verified as Corrected** |
| --- | --- | --- | --- |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## 13 - Prior FFY Required Actions

None

## 13 - OSEP Response

## 13 - Required Actions

# Indicator 14: Post-School Outcomes

**Instructions and Measurement**

**Monitoring Priority**: Effective General Supervision Part B / Effective Transition

**Results indicator:** Post-school outcomes: Percent of youth who are no longer in secondary school, had IEPs in effect at the time they left school, and were:

Enrolled in higher education within one year of leaving high school.

Enrolled in higher education or competitively employed within one year of leaving high school.

Enrolled in higher education or in some other postsecondary education or training program; or competitively employed or in some other employment within one year of leaving high school.

(20 U.S.C. 1416(a)(3)(B))

**Data Source**

State selected data source.

**Measurement**

A. Percent enrolled in higher education = [(# of youth who are no longer in secondary school, had IEPs in effect at the time they left school and were enrolled in higher education within one year of leaving high school) divided by the (# of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school)] times 100.

B. Percent enrolled in higher education or competitively employed within one year of leaving high school = [(# of youth who are no longer in secondary school, had IEPs in effect at the time they left school and were enrolled in higher education or competitively employed within one year of leaving high school) divided by the (# of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school)] times 100.

C. Percent enrolled in higher education, or in some other postsecondary education or training program; or competitively employed or in some other employment = [(# of youth who are no longer in secondary school, had IEPs in effect at the time they left school and were enrolled in higher education, or in some other postsecondary education or training program; or competitively employed or in some other employment) divided by the (# of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school)] times 100.

**Instructions**

*Sampling****of youth who had IEPs and are no longer in secondary school****is allowed. When sampling is used, submit a description of the sampling methodology outlining how the design will yield valid and reliable estimates of the target population. (See General Instructions on page 2 for additional instructions on sampling.)*

Collect data by September 2020 on students who left school during 2018-2019, timing the data collection so that at least one year has passed since the students left school. Include students who dropped out during 2018-2019 or who were expected to return but did not return for the current school year. This includes all youth who had an IEP in effect at the time they left school, including those who graduated with a regular diploma or some other credential, dropped out, or aged out.

**I. *Definitions***

*Enrolled in higher education* as used in measures A, B, and C means youth have been enrolled on a full- or part-time basis in a community college (two-year program) or college/university (four or more year program) for at least one complete term, at any time in the year since leaving high school.

*Competitive employment* as used in measures B and C: States have two options to report data under “competitive employment” in the FFY 2019 SPP/APR, due February 2021:

Option 1: Use the same definition as used to report in the FFY 2015 SPP/APR, i.e., competitive employment means that youth have worked for pay at or above the minimum wage in a setting with others who are nondisabled for a period of 20 hours a week for at least 90 days at any time in the year since leaving high school. This includes military employment.

Option 2: States report in alignment with the term “competitive integrated employment” and its definition, in section 7(5) of the Rehabilitation Act, as amended by Workforce Innovation and Opportunity Act (WIOA), and 34 CFR §361.5(c)(9). For the purpose of defining the rate of compensation for students working on a “part-time basis” under this category, OSEP maintains the standard of 20 hours a week for at least 90 days at any time in the year since leaving high school. This definition applies to military employment.

*Enrolled in other postsecondary education or training* as used in measure C, means youth have been enrolled on a full- or part-time basis for at least 1 complete term at any time in the year since leaving high school in an education or training program (e.g., Job Corps, adult education, workforce development program, vocational technical school which is less than a two-year program).

*Some other employment* as used in measure C means youth have worked for pay or been self-employed for a period of at least 90 days at any time in the year since leaving high school. This includes working in a family business (e.g., farm, store, fishing, ranching, catering services, etc.).

**II. *Data Reporting***

Provide the actual numbers for each of the following mutually exclusive categories. The actual number of “leavers” who are:

1. Enrolled in higher education within one year of leaving high school;

2. Competitively employed within one year of leaving high school (but not enrolled in higher education);

3. Enrolled in some other postsecondary education or training program within one year of leaving high school (but not enrolled in higher education or competitively employed);

4. In some other employment within one year of leaving high school (but not enrolled in higher education, some other postsecondary education or training program, or competitively employed).

“Leavers” should only be counted in one of the above categories, and the categories are organized hierarchically. So, for example, “leavers” who are enrolled in full- or part-time higher education within one year of leaving high school should only be reported in category 1, even if they also happen to be employed. Likewise, “leavers” who are not enrolled in either part- or full-time higher education, but who are competitively employed, should only be reported under category 2, even if they happen to be enrolled in some other postsecondary education or training program.

**III. *Reporting on the Measures/Indicators***

Targets must be established for measures A, B, and C.

Measure A: For purposes of reporting on the measures/indicators, please note that any youth enrolled in an institution of higher education (that meets any definition of this term in the Higher Education Act (HEA)) within one year of leaving high school must be reported under measure A. This could include youth who also happen to be competitively employed, or in some other training program; however, the key outcome we are interested in here is enrollment in higher education.

Measure B: All youth reported under measure A should also be reported under measure B, in addition to all youth that obtain competitive employment within one year of leaving high school.

Measure C: All youth reported under measures A and B should also be reported under measure C, in addition to youth that are enrolled in some other postsecondary education or training program, or in some other employment.

Include the State’s analysis of the extent to which the response data are representative of the demographics of youth who are no longer in secondary school and had IEPs in effect at the time they left school. States should consider categories such as race and ethnicity, disability category, and geographic location in the State.

If the analysis shows that the response data are not representative of the demographics of youth who are no longer in secondary school and had IEPs in effect at the time they left school, describe the strategies that the State will use to ensure that in the future the response data are representative of those demographics. In identifying such strategies, the State should consider factors such as how the State collected the data.

## 14 - Indicator Data

**Historical Data**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Measure** | **Baseline** | **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| A | 2009 | Target >= | 9.00% | 11.00% | 13.00% | 14.00% | 14.00% |
| A | 13.00% | Data | 3.38% | 10.38% | 22.22% | 23.19% | 20.91% |
| B | 2009 | Target >= | 40.00% | 45.00% | 50.00% | 55.00% | 60.00% |
| B | 26.00% | Data | 20.95% | 40.57% | 40.28% | 46.38% | 38.18% |
| C | 2009 | Target >= | 60.00% | 62.00% | 64.00% | 68.00% | 70.00% |
| C | 34.00% | Data | 58.78% | 90.57% | 83.33% | 100.00% | 97.27% |

**FFY 2019 Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target A >= | 15.00% |
| Target B >= | 50.00% |
| Target C >= | 80.00% |

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

**FFY 2019 SPP/APR Data**

|  |  |
| --- | --- |
| Number of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school | 102 |
| 1. Number of respondent youth who enrolled in higher education within one year of leaving high school | 11 |
| 2. Number of respondent youth who competitively employed within one year of leaving high school | 18 |
| 3. Number of respondent youth enrolled in some other postsecondary education or training program within one year of leaving high school (but not enrolled in higher education or competitively employed) | 2 |
| 4. Number of respondent youth who are in some other employment within one year of leaving high school (but not enrolled in higher education, some other postsecondary education or training program, or competitively employed). | 71 |

| **Measure** | **Number of respondent youth** | **Number of respondent youth who are no longer in secondary school and had IEPs in effect at the time they left school** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| A. Enrolled in higher education (1) | 11 | 102 | 20.91% | 15.00% | 10.78% | Did Not Meet Target | Slippage |
| B. Enrolled in higher education or competitively employed within one year of leaving high school (1 +2) | 29 | 102 | 38.18% | 50.00% | 28.43% | Did Not Meet Target | Slippage |
| C. Enrolled in higher education, or in some other postsecondary education or training program; or competitively employed or in some other employment (1+2+3+4) | 102 | 102 | 97.27% | 80.00% | 100.00% | Met Target | No Slippage |

| **Part** | **Reasons for slippage, if applicable** |
| --- | --- |
| **A** | FSM reported slippage for Indicators 14A and 14B. By numbers, FSM reported a decrease of 12 leavers from 23 in FFY 2018 to 11 in FFY 2019 in higher education and a decrease by one leaver in competitive employment from 19 in FFY 2018 to 18 in FFY 2019.  Stakeholders discussed that a consideration for the slippage in 14A and 14B was the emphasis in providing youth with IEPs opportunities for post-school employment. The change in the number of leavers in competitive employment was one less than the previous reporting period, but there was an increase in leavers in the “other employment” category by 12 from a performance of 53.64% (59/110) in FFY 2018 to 69.61% (71/102) in FFY 2019. Activities that focused on employment opportunities in each FSM state/LEA included:  Yap State: Program collaborated with local businesses to offer on-the-job training for high school students. Focus has been on preparing students for employment.  Pohnpei State: Program collaborated with local businesses to assist with job placements for seniors. The counselors facilitated the placements at the businesses. This could have contributed to the decrease in the number of leavers in higher education from 18 in FFY 2018 to nine in FFY 2019, and an increase in the number of leavers in competitive employment from two in FFY 2018 to seven in FFY 2019.   In Chuuk, 84.62% (44/52) represented leavers in “other employment” from the lagoon and outer islands; an increase from 64.81% (35/54) in the same category in FFY 2018. There are limited opportunities for competitive employment on these islands where the leavers reside, which could have contributed to the slippage in 14A and 14B.  Kosrae State: Program collaborated with local businesses to offer on-the-job training for high school students. Focus has been on preparing students for employment, which included working with the Workforce Development & Skills Training (WD&ST) program. |
| **B** | FSM reported slippage for Indicators 14A and 14B. By numbers, FSM reported a decrease of 12 leavers from 23 in FFY 2018 to 11 in FFY 2019 in higher education and a decrease by one leaver in competitive employment from 19 in FFY 2018 to 18 in FFY 2019.  Stakeholders discussed that a consideration for the slippage in 14A and 14B was the emphasis in providing youth with IEPs opportunities for post-school employment. The change in the number of leavers in competitive employment was one less than the previous reporting period, but there was an increase in leavers in the “other employment” category by 12 from a performance of 53.64% (59/110) in FFY 2018 to 69.61% (71/102) in FFY 2019. Activities that focused on employment opportunities in each FSM state/LEA included:  Yap State: Program collaborated with local businesses to offer on-the-job training for high school students. Focus has been on preparing students for employment.  Pohnpei State: Program collaborated with local businesses to assist with job placements for seniors. The counselors facilitated the placements at the businesses. This could have contributed to the decrease in the number of leavers in higher education from 18 in FFY 2018 to nine in FFY 2019, and an increase in the number of leavers in competitive employment from two in FFY 2018 to seven in FFY 2019.   In Chuuk, 84.62% (44/52) represented leavers in “other employment” from the lagoon and outer islands; an increase from 64.81% (35/54) in the same category in FFY 2018. There are limited opportunities for competitive employment on these islands where the leavers reside, which could have contributed to the slippage in 14A and 14B.  Kosrae State: Program collaborated with local businesses to offer on-the-job training for high school students. Focus has been on preparing students for employment, which included working with the Workforce Development & Skills Training (WD&ST) program. |

**Please select the reporting option your State is using:**

Option 1: Use the same definition as used to report in the FFY 2015 SPP/APR, i.e., competitive employment means that youth have worked for pay at or above the minimum wage in a setting with others who are nondisabled for a period of 20 hours a week for at least 90 days at any time in the year since leaving high school. This includes military employment.

| **Sampling Question** | **Yes / No** |
| --- | --- |
| Was sampling used? | NO |

**Describe the sampling methodology outlining how the design will yield valid and reliable estimates.**

| **Survey Question** | **Yes / No** |
| --- | --- |
| Was a survey used? | YES |
| If yes, is it a new or revised survey? | NO |

**Include the State’s analyses of the extent to which the response data are representative of the demographics of youth who are no longer in secondary school and had IEPs in effect at the time they left school.**

FSM demonstrated representation of its demographics with a 100% (102/102) return rate of its leavers responding to the post-school outcome survey in FFY 2019. In 2018-2019, there was a total of 108 exiters who left high school: 43 graduates with a high school diploma; 54 who dropped out; six who reached maximum age; and five who died. Of the 108 exiters who left high school, 103 were from the exit categories identified for the Indicator 14: post-school outcomes survey. One leaver who dropped out from high school however returned to high school the following year and therefore was not included in the FFY 2019 post-school outcomes survey. A total of 102 exiters from the 2018-2019 IDEA 618 exit data was therefore the total number of leavers for Indicator 14.

| **Question** | **Yes / No** |
| --- | --- |
| Are the response data representative of the demographics of youth who are no longer in school and had IEPs in effect at the time they left school? | YES |

**Provide additional information about this indicator (optional)**

## 14 - Prior FFY Required Actions

None

## 14 - OSEP Response

## 14 - Required Actions

# Indicator 15: Resolution Sessions

**Instructions and Measurement**

**Monitoring Priority**: Effective General Supervision Part B / General Supervision

**Results Indicator:** Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements.

(20 U.S.C. 1416(a)(3)(B))

**Data Source**

Data collected under section 618 of the IDEA (IDEA Part B Dispute Resolution Survey in the EDFacts Metadata and Process System (E*MAPS*)).

**Measurement**

Percent = (3.1(a) divided by 3.1) times 100.

**Instructions**

Sampling is not allowed.

Describe the results of the calculations and compare the results to the target.

States are not required to establish baseline or targets if the number of resolution sessions is less than 10. In a reporting period when the number of resolution sessions reaches 10 or greater, develop baseline, targets and improvement activities, and report on them in the corresponding SPP/APR.

States may express their targets in a range (e.g., 75-85%).

If the data reported in this indicator are not the same as the State’s data under IDEA section 618, explain.

States are not required to report data at the LEA level.

## 15 - Indicator Data

Select yes to use target ranges

Target Range not used

**Prepopulated Data**

| **Source** | **Date** | **Description** | **Data** |
| --- | --- | --- | --- |
| SY 2019-20 EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints | 11/04/2020 | 3.1 Number of resolution sessions | 0 |
| SY 2019-20 EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints | 11/04/2020 | 3.1(a) Number resolution sessions resolved through settlement agreements | 0 |

**Select yes if the data reported in this indicator are not the same as the State’s data reported under section 618 of the IDEA.**

NO

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

FSM did not hold any hearing resolution sessions during the historical data period. Per the Measurement instructions, States are not required to establish baseline or targets if the number of resolution sessions is less than 10.

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2005 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target >= |  |  |  |  |  |
| Data |  |  |  |  |  |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target >= |  |

**FFY 2019 SPP/APR Data**

| **3.1(a) Number resolutions sessions resolved through settlement agreements** | **3.1 Number of resolutions sessions** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- |
| 0 | 0 |  |  |  | N/A | N/A |

**Provide additional information about this indicator (optional)**

## 15 - Prior FFY Required Actions

None

## 15 - OSEP Response

FSM reported fewer than ten resolution sessions held in FFY 2019. FSM is not required to provide targets until any fiscal year in which ten or more resolution sessions were held.

## 15 - Required Actions

# Indicator 16: Mediation

**Instructions and Measurement**

**Monitoring Priority**: Effective General Supervision Part B / General Supervision

**Results indicator:** Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3(B))

**Data Source**

Data collected under section 618 of the IDEA (IDEA Part B Dispute Resolution Survey in the EDFacts Metadata and Process System (E*MAPS*)).

**Measurement**

Percent = (2.1(a)(i) + 2.1(b)(i)) divided by 2.1) times 100.

**Instructions**

Sampling is not allowed.

Describe the results of the calculations and compare the results to the target.

States are not required to establish baseline or targets if the number of resolution sessions is less than 10. In a reporting period when the number of resolution sessions reaches 10 or greater, develop baseline, targets and improvement activities, and report on them in the corresponding SPP/APR.

States may express their targets in a range (e.g., 75-85%).

If the data reported in this indicator are not the same as the State’s data under IDEA section 618, explain.

States are not required to report data at the LEA level.

## 16 - Indicator Data

**Select yes to use target ranges**

Target Range not used

**Prepopulated Data**

| **Source** | **Date** | **Description** | **Data** |
| --- | --- | --- | --- |
| SY 2019-20 EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests | 11/04/2020 | 2.1 Mediations held | 0 |
| SY 2019-20 EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests | 11/04/2020 | 2.1.a.i Mediations agreements related to due process complaints | 0 |
| SY 2019-20 EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests | 11/04/2020 | 2.1.b.i Mediations agreements not related to due process complaints | 0 |

**Select yes if the data reported in this indicator are not the same as the State’s data reported under section 618 of the IDEA.**

NO

**Targets: Description of Stakeholder Input**

As the SEA, NDOE facilitates stakeholder involvement for soliciting broad stakeholder input for FSM's IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) development. Given FSM’s administrative structure, the FSM National SPP/APR Leadership team, comprised of representatives from NDOE and the four LEA Special Education Advisory Councils and Special Education Programs and LEA general education administrators, serves as FSM’s broad stakeholder group for its SPP and APR development, which meets the minimum requirement of the IDEA State Advisory Panel for Special Education. The FSM RTI initiative or FSM’s Project LIFT includes other key stakeholders, including Department specialists, schools administrators, teachers, and parents for the development and implementation of Indicator 17: FSM’s State Systemic Improvement Plan (SSIP).  
  
The FFY 2019 IDEA Part B SPP/APR and the SSIP Phase III Year 5 report (Indicator 17) development was through virtual FSM leadership meetings. As discussed earlier, although no cases of the corona virus of 2019 were tested positive in the four FSM LEAs in FFY 2019, FSM school calendars and schedules were altered beginning March 2020 to May 2020 to ensure precautionary measures of social distancing were practiced. FSM continues to be under a public health emergency declaration that has impacted travel to and from the FSM. Technical assistance providers from the mainland US and Guam continued their support to the FSM through virtual leadership meetings as follows:  
  
On November 24-25 and 27, 2020, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM FFY 2019 APR Stakeholder meeting to discuss and analyze FSM’s FFY 2019 APR indicator performance data and the impact of COVID-19 on data collection and reporting by each of the LEA. The LEA teams were represented by APR team members who were representative of the broad stakeholders of each LEA. SPP/APR and SSIP data were presented and discussed for recommendations for improvement.   
  
On January 12-14, 2021, with technical support from FSM’s US mainland and Guam partners, NDOE facilitated the FSM National SPP/APR Leadership meeting for final considerations of FSM's FFY 2019 IDEA Part B APR, including explanation of slippage for FSM's FFY 2019 indicator performance that didn't meet target. The team reviewed FSM's performance on the established targets for Indicators 1-16 and discussed reasons for slippage where applicable. FSM's performance data were verified against all relevant data sources, including the official 618 data submissions to OSEP. Trend data of performance on all indicator targets for FSM national and each LEA were reviewed and discussed for consideration of setting new targets or revising improvement activities. It was agreed that the FFY 2019 targets for the Results indicators would not be revised for FFY 2019.

FSM did not hold any mediations during the historical data period. Per the Measurement instructions, States are not required to establish baseline or targets if the number of mediations is less than 10.

**Historical Data**

| **Baseline Year** | **Baseline Data** |
| --- | --- |
| 2005 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **FFY** | **2014** | **2015** | **2016** | **2017** | **2018** |
| Target >= |  |  |  |  |  |
| Data |  |  |  |  |  |

**Targets**

|  |  |
| --- | --- |
| **FFY** | **2019** |
| Target >= |  |

**FFY 2019 SPP/APR Data**

| **2.1.a.i Mediation agreements related to due process complaints** | **2.1.b.i Mediation agreements not related to due process complaints** | **2.1 Number of mediations held** | **FFY 2018 Data** | **FFY 2019 Target** | **FFY 2019 Data** | **Status** | **Slippage** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 0 | 0 | 0 |  |  |  | N/A | N/A |

**Provide additional information about this indicator (optional)**

## 16 - Prior FFY Required Actions

None

## 16 - OSEP Response

FSM reported fewer than ten mediations held in FFY 2019. FSM is not required to provide targets until any fiscal year in which ten or more mediations were held.

## 16 - Required Actions

# Certification

**Instructions**

**Choose the appropriate selection and complete all the certification information fields. Then click the "Submit" button to submit your APR.**

**Certify**

**I certify that I am the Chief State School Officer of the State, or his or her designee, and that the State's submission of its IDEA Part B State Performance Plan/Annual Performance Report is accurate.**

**Select the certifier’s role:**

Designated by the Chief State School Officer to certify

**Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part B State Performance Plan/Annual Performance Report.**

**Name:**

Arthur Albert

**Title:**

Assistant Secretary, Division of Special Services

**Email:**

aalbert@dss.edu.fm

**Phone:**

691 320 8982

**Submitted on:**

04/29/21 6:11:12 PM

# ED attachments

  