Hispanic Serving Institutions

FY 2014 Reporting Kit
Annual Reporting

- Each year, U.S. Department of Education is responsible for gathering and publishing Executive Actions that assist Minority-Serving Institutions (MSIs)
- Annual report summarizes funding to MSIs
- Annual report categorizes funding to MSIs by investments such as
  - Research and Development
  - Scholarships
  - Grants
  - Contracts
Hispanic Serving Institutions

• According to the Higher Education Opportunity Act, Title V, HSIs are accredited degree-granting institutions of higher education (IHEs) that:
  o is an eligible institution; and
  o has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students at the end of the award year immediately preceding the date of application

• Federal funding criteria varies by agencies. Please check with individual agencies for funding eligibility.

• For more information visit: http://sites.ed.gov/hispanic-initiative/hispanic-serving-institutions-hsis/.
Definitions of Funding Categories to HSIs

- **Award**: A federal contract, grant, loan or other financial assistance.

- **Contract**: An agreement between the federal government and awardee to execute goods and services for a fee.

- **Grant**: Funds provided to accomplish a purpose such as conducting research, student aid, etc.

- **Loan**: Federal awards that the borrowers will eventually pay back to the government.

- **Service**: Provides federal non-financial assistance to perform certain tasks for the benefit of the awardee.

- **Technical Assistance**: Direct help to awardees in key areas such as writing proposals, managing programs, etc.
Definitions of Funding Categories to HSIs

- **Research and Development**: Studies/Observations
- **Program Evaluation**: Assesses effectiveness of program
- **Training**: Using professional personnel to enhance knowledge or skills at HSIs
- **Facilities and Equipment**: Structures, works, major repairs or alterations to facilities or land for use by HSI
- **Internships/Traineeship/Recruitment**: Cooperative education, student/faculty internship, visiting professorships, student recruitment
- **Student Aid (Fellowship/Scholarship/Tuition Assistance)**: Federal funds awarded to students
Definitions of Funding Categories to HSIs

- **Direct Institutional Subsidies**: No restrictions or broad range of allowable activities for Federal funds
- **Third Party Awards**: Organizations or entities that received Federal funding on behalf of HSIs such as HACU
- **Private-Sector Involvement**: Participation of private sector in projects funded
- **Administrative Infrastructure**: Management or framework of an HSI
- **Economic Development**: Supports creation of new businesses or jobs
- **Other Activities**: Programming not defined in previous categories
FY 2014 Funding to HSIs

- Reports collected from more than 25 different executive agencies summarizing funding to HSIs in FY 2014.
- Grants were the majority of funding to HSIs.
- Majority of grants to HSIs were to Research/Development and Student Aid.
- Top 5 agencies that provided most funding to HSIs:
  - U.S. Department of Education
  - National Science Foundation
  - U.S. Department of Defense
  - National Aeronautics and Space Administration
  - U.S. Department of Veteran Affairs
**FY14 Funding to HSIs**

**Top 5 States with the most HSIs**
- California
- Puerto Rico
- Texas
- Florida
- New York

**Top 5 States that have received funding for HSIs in FY 2014**
- California
- Texas
- New Mexico
- Puerto Rico
- Florida

**Top 5 Institutions that have received funding FY 2014**
- University of New Mexico
- Florida International University
- University of California, Riverside
- New Mexico State University
- Northeastern Illinois University
Targeted HSI-Designated Programs at ED

- **Developing Hispanic-Serving Institutions** (DHSI) — Title V, Part A
- **Promoting Postbaccalaureate Opportunities for Hispanic Americans** (PPOHA) — Title V, Part B
- **Hispanic-Serving Institutions Science, Technology, Engineering, or Mathematics and Articulation** (HSI STEM) — Title III, Part F
Allowable Activities
(20 U.S. Code § 1101b)

• Purchase, rental, or lease of scientific/laboratory equipment for educational, instructional, and research purposes.
• Construction, maintenance, renovation and improvement of instructional facilities.
• Support of faculty exchanges, fellowships and development; and curriculum development.
• Purchase of library books, periodicals, and other educational materials.
• Tutoring, counseling, and student services designed to improve academic success.
• Articulation agreements and student support programs designed to facilitate the transfer from two-year to four-year institutions.
• Funds management.
Allowable Activities
(20 U.S. Code § 1101b)

• Joint use of facilities, such as laboratories and libraries.
• Establishing or improving a development office.
• Establishing or improving an endowment fund.
• Creating or improving facilities for Internet or other distance education technologies.
• Establishing or enhancing a program of teacher education.
• Establishing community outreach programs that will encourage elementary and secondary students to pursue postsecondary education.
• Expanding the number of Hispanic and other underrepresented graduate and professional students that can be served by the institution through expanded courses and resources.
• Providing education, counseling, or financial information designed to improve financial and economic literacy of students or the students’ families.
Overview of Common Activities

Academic Quality
• Faculty development
• Curriculum development
• Improvement of basic skills courses
• Acquisition of library materials and laboratory equipment

Student Services
• Counseling
• Tutoring and mentoring
• Establishing learning communities
• Improving student facilities and computer labs

Student Outcomes
• Improving student retention and graduation rates
• Increasing academic achievement
Overview of Common Activities

Fiscal Stability
• Establishing or improving a development office
• Strengthening Alumni relationships and fundraising
• Building an endowment
• Increase research dollars

Institutional Management
• Creating and maintaining Management Information Systems
• Training and developing staff other than teaching faculty
• Construction and renovation
• Improving the infrastructure for internet access
Resources

• **White House Initiative on Educational Excellence for Hispanics**
  - **Federal Interagency Working Group**
    - [Federal Agencies Investing in Hispanic Education Report](#)
    - [Federal Agencies Data Plans](#)
  - **Federal Agencies Opportunity Bank**
  - **Hispanic-Serving Institutions**
HSI Division Contact Information

Beatriz Ceja, Division Director
beatrice.ceja@ed.gov or 202-453-6239

Njeri Clark, Title V, Part A Program Lead
njeri.clark@ed.gov or 202-453-6224

Dr. Maria Carrington
maria.carrington@ed.gov
202-453-7339

Everardo Gil
everardo.gil@ed.gov
202-453-7712

Kissy Chapman-Thaw
Kissy.chapman-thaw@ed.gov
202-453-7627

Rick Gaona
rick.gaona@ed.gov
202-453-6077

Terrance Hilton
Terrance.hilton@ed.gov
202-453-6155