

2009 Annual Report to the President on the Results of  
Participation of Historically Black Colleges and  
Universities in Federal Programs



U.S. Department of Education  
White House Initiative on  
Historically Black Colleges and Universities

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## Executive Summary

- In FY 2009, the nation's 105 historically black colleges and universities (HBCUs) received \$4,780,696,771 in grants, student loans, and other allocations from 31 participating federal departments and agencies, mostly across six major funding categories (see Table 2).
- HBCUs received substantial support, roughly \$800 million, from federal efforts to stimulate the economy during FY 2009.

## Introduction

As per Executive Order 13256, signed on February 12, 2002 (see Appendix A of this report), the President's Board of Advisors on Historically Black Colleges and Universities (HBCUs) “shall prepare and issue an annual report to the President on the results of the participation of historically black colleges and universities in Federal programs.”

- The following report describes the support that the nation's 105 HBCUs received from the 31 participating federal departments and agencies during FY 2009.
- During FY 2009, approximately 12 percent of all African American college students were enrolled in HBCUs.

## Methodology

As per Section 6 of Executive Order 13256, the 31 participating departments and agencies are required on an annual basis to provide the White House Initiative on HBCUs (WHI-HBCU) with data that identifies the funds they provided to HBCUs during the previous fiscal year.

Most of the tables in this report contain three kinds of data: 1) funds provided to all institutions of higher education (IHEs), 2) funds provided to HBCUs, and 3) the percentage of IHE funds awarded to HBCUs.

Although the WHI-HBCU received most of the requested funding data that is necessary to compile this report, some of the data was not accounted for among some of the participating agencies and departments. This unaccounted information is due to an agency either failing to submit its report altogether or not specifically disclosing its awards in accordance to the established funding categories. As a result, missing entries in the tables are designated as “n/a” – as in “not available.”

The missing data elements did not significantly impact this report for two reasons: 1) most of the support across the major funding categories was provided by only a few departments and agencies, and 2) the major funders provided the requested data elements.

Where the last row in a table represents the sum of all of the data in its respective column, missing data will generate an estimated sum that is lower than the real sum. However, given the predominant support from the major funding agencies and departments, the missing data from those contributing smaller amounts will generate errors in the total HBCU support as a percentage of total IHE support that will usually be less than one percent.

**Note #1:** All of the tables within the body of this report are ordered by the amount of the funds provided to HBCUs. For further reference, the tables in Appendix E provide the same data, but are ordered alphabetically by the names of the participating departments or agencies.

**Note #2:** The data for the previous year's FY 2008 report was collected by the previous Administration. Efforts by the Obama Administration to improve the methodology employed in the classification and analysis of the data contributed to delays in the production of that report. Additional efforts to further improve these methods also delayed this FY 2009 report. As a result, the data tabulated in this report is not directly comparable with the data as it was classified for FY 2008 or in earlier years. Accordingly, this report will not discuss changes in funding levels that occurred from year to year.

However, the forthcoming FY 2010 report will apply the final classification schema to the FY 2007, FY 2008, FY 2009, and FY 2010 data and will therefore include valid discussions of changes and trends in year-to-year funding levels.

## Part I. Overview of HBCU Funding in Fiscal Year 2009

Table 1 identifies the funds awarded by each of the participating agencies and departments to HBCUs and to all IHEs. As shown in the table, the 31 participating federal agencies and departments provided HBCUs with \$4,780,696,771 in FY 2009 – representing 2.7 percent of the total \$174,472,080,687 that these departments and agencies awarded to all IHEs.

Of the 31 funding agencies and departments, four (4) contributed more than \$50 million to HBCUs: **Education, HHS, Agriculture, and NSF.**

**Table 1. Federal Funds Awarded in FY 2009 to All IHEs and to HBCUs**

Department/Agency	IHEs	HBCUs	HBCU % Share
U.S. Department of Education	\$132,648,491,528	\$4,041,270,491	3.0%
U.S. Department of Health & Human Services	\$19,940,250,968	\$213,416,395	1.1%
U.S. Department of Agriculture	\$1,756,500,127	\$147,375,124	8.4%
National Science Foundation	\$4,685,163,350	\$95,033,885	2.0%
U.S. Department of Defense	\$5,482,754,494	\$46,486,209	0.8%
U.S. Department of Veterans Affairs	\$4,067,939,244	\$45,849,634	1.1%
U.S. Department of Homeland Security	\$433,066,524	\$42,543,303	9.8%
U.S. Department of Energy	\$2,473,684,309	\$31,382,525	1.3%
National Aeronautics and Space Administration	\$1,086,571,228	\$23,296,224	2.1%
U.S. Agency for International Development	\$257,441,711	\$19,783,053	7.7%
U.S. Department of the Interior	\$112,597,695	\$16,595,991	14.7%
U.S. Department of Commerce	\$504,215,098	\$15,003,948	3.0%
U.S. Department of Housing & Urban Development	\$22,125,985	\$9,000,000	40.7%
U.S. Department of Transportation	\$158,300,242	\$7,484,771	4.7%
U.S. Department of State	\$182,173,941	\$6,942,541	3.8%
U.S. Department of Justice	\$7,247,734	\$5,215,918	72.0%
U.S. Department of Labor	\$162,079,932	\$3,504,702	2.2%
U.S. Small Business Administration	\$104,500,000	\$2,495,784	2.4%
Environmental Protection Agency	\$99,156,307	\$1,907,995	1.9%
U.S. Nuclear Regulatory Commission	\$22,627,517	\$1,669,933	7.4%
Corporation for National and Community Service	\$175,350,000	\$1,573,308	0.9%
Social Security Administration	\$21,112,264	\$1,292,537	6.1%
National Endowment for the Humanities	\$40,798,897	\$615,281	1.5%
Office of Personnel Management	\$1,850,297	\$497,929	26.9%
Central Intelligence Agency	n/a	\$172,253	n/a
U.S. Department of the Treasury	\$18,959,255	\$117,952	0.6%
National Endowment for the Arts	\$5,807,505	\$85,000	1.5%
Appalachian Regional Commission	\$1,259,035	\$74,000	5.9%
Broadcasting Board of Governors	n/a	\$10,085	n/a
National Credit Union Administration	\$55,500	\$0	0.0%
Equal Employment Opportunity Commission	n/a	n/a	n/a
<b>TOTAL</b>	<b>\$174,472,080,687</b>	<b>\$4,780,696,771</b>	<b>2.7%</b>

Table 2 displays the allocation of federal support for HBCUs across six major funding categories that, collectively, accounted for 84.2 percent of the total federal support for HBCUs in FY 2009.

Funding for scholarships and tuition assistance accounted for \$3,388,024,318, representing 70.9 percent of all federal support for HBCUs in FY 2009.

**Table 2. FY 2009 Federal Support by Major Category**

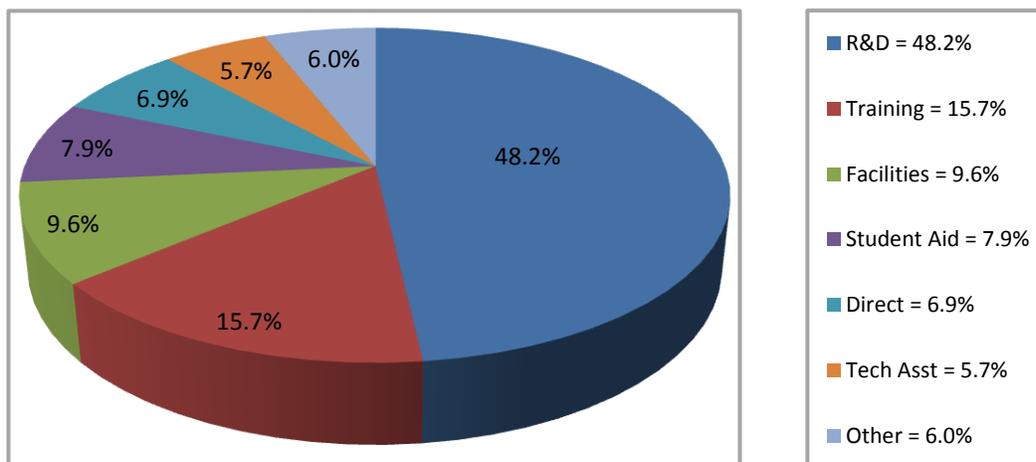
Major Funding Categories	IHEs	HBCUs	HBCU % Share
Scholarships and Tuition Assistance	\$132,561,583,438	\$3,388,024,318	2.6%
Research & Development	\$30,623,433,970	\$356,382,387	1.2%
Training	\$3,555,702,356	\$115,721,357	3.3%
Facilities & Equipment	\$694,655,601	\$71,128,474	10.2%
Direct Institutional Subsidies	\$674,067,204	\$51,219,549	7.6%
Technical Assistance and Other Services	\$1,173,878,177	\$41,995,709	3.6%
<i>Other Categories*</i>	\$5,188,759,941	\$756,224,977	14.6%
<b>TOTAL</b>	<b>\$174,472,080,687</b>	<b>\$4,780,696,771</b>	<b>2.7%</b>
<b>HBCU Funding in Six Major Funding Categories.....</b>			<b>84.2%</b>

\*The funds included within the line item for *Other Categories* accounts for the following: 1) ED allocations for non-Federal Student Financial Assistance, 2) awards that were not categorized by the reporting agencies when submitted to the WHI-HBCU, and 3) additional funding categories reported by participating agencies (e.g., Program Evaluation, Fellowships/Internships, Third Party Awards, Private Sector Involvement, and Administrative Infrastructure).

As displayed in Figure 1, R&D was the second largest funding category at \$356,382,387 – representing 48.2 percent of the remaining \$739,426,280 in funding not provided by the Department of Education (ED).

**Figure 1. Percentage of Funds Awarded to HBCUs in Each Category in FY 2009**

*Based on a total of \$739,426,280 (excludes all ED funding)*



Note: Refer to Table 3 and Table 4 for ED funding data

Table 3 provides a separate dataset for the Department of Education’s (ED) funding to IHEs and to HBCUs in FY 2009. The data included within the table is separated from subsequent categorical funding tables (Table 5 - Table 9) because ED reports its funding information across categories that are unique to the Department.

- ED provided \$3,329,362,906 in federal student financial assistance to HBCUs in FY 2009, which accounted for 82.3 percent of the total \$4,041,270,491 the Department awarded to HBCUs.
- ED awarded HBCUs \$711,907,585 in non-student financial assistance funds to HBCUs, representing 21.3 percent of the non-student financial assistance funds the Department provided to all IHEs.

Although ED provides the largest dollar support to HBCUs, its contributions do not directly correlate with the six major funding categories identified in Table 2 – except for Scholarships and Tuition. Many of the Department’s programs contribute to more than one of the other five categories in ways that are difficult to disaggregate. Accordingly, ED’s contributions will not appear in subsequent categorical funding tables (Part II), except for Table 4 which displays the data for student aid.

Table 3 offers a general breakdown of ED’s support to IHEs and to HBCUs by disaggregating awarded funds in FY 2009 in accordance to the Department’s two most basic funding categories: Federal Student Financial Assistance and Non-Federal Student Financial Assistance.

**Table 3. ED Awards to All IHEs and to HBCUs by Departmental Funding Categories**

ED Funding Category	Description	IHEs	HBCUs	HBCU % Share
<b>Federal Student Financial Assistance</b>	Federally financed grants and loans to assist students with the payment of tuition	<b>\$129,309,571,000</b>	<b>\$3,329,362,906</b>	<b>2.6%</b>
<i>Student Financial Assistance Grants</i>	Includes: Pell, SEOG, Work-Study, ACG, SMART, and Teach Grant programs	\$31,687,266,000	\$960,681,687	3.0%
<i>Student Financial Assistance Loans</i>	Includes: FFEL, Direct Loans, and Perkins Loans programs	\$97,622,304,000	\$2,368,681,219	2.4%
<b>Non-Federal Student Financial Assistance</b>	Includes: Title III, Student Service Programs, International and Foreign Language Education, State Service, Institutional Service, and support provided by ED offices: OII, OESE, OSERS, OELA, and Office of Safe and Drug-Free Schools	<b>\$3,338,920,528</b>	<b>\$711,907,585</b>	<b>21.3%</b>
<b>GRAND TOTAL</b>		<b>\$132,648,491,528</b>	<b>\$4,041,270,491</b>	<b>3.1%</b>

*Note:* Not included in the listed figures are loans that were provided to HBCUs under ED’s HBCU Capital Financing Program. HBCUs received \$61,000,000 in FY 2009 under this loan program.

## Part II. Allocations of Federal Support to All IHEs and to HBCUs by Major Categories

The tables in this section of the report detail the contributions of each participating department and agency in accordance with the six major categories identified in Table 2.

As shown in Table 2, funding for **scholarships and tuition assistance** was the largest source of federal funds for HBCUs, representing 70.9 percent of the total funds provided to HBCUs in FY 2009.

As shown in Table 4, the **Department of Education** administered the predominate amount of financial aid to HBCU students in FY 2009, accounting for 98 percent of the total funding for student assistance.

**Table 4. Scholarships and Tuition Assistance Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
U.S. Department of Education*	\$129,309,571,000	\$3,329,362,906	2.6%
U.S. Department of Veterans Affairs	\$2,672,688,300	\$29,886,627	1.1%
U.S. Department of Health & Human Services	\$91,716,090	\$13,189,145	14.4%
U.S. Department of Defense	\$285,402,128	\$8,431,328	3.0%
U.S. Department of Commerce	\$2,239,700	\$2,043,161	91.2%
U.S. Department of Transportation	\$3,159,930	\$1,567,087	49.6%
U.S. Department of State	\$20,198,205	\$848,634	4.2%
U.S. Department of Agriculture	\$1,040,835	\$829,394	79.7%
U.S. Nuclear Regulatory Commission	\$4,945,399	\$610,573	12.3%
Corporation for National and Community Service	\$152,905,586	\$389,939	0.3%
National Aeronautics and Space Administration	\$0	\$299,357	n/a
U.S. Department of Homeland Security	\$15,866,400	\$218,011	1.4%
U.S. Department of Energy	\$752,145	\$196,815	26.2%
U.S. Department of the Interior	\$606,850	\$84,000	13.8%
U.S. Department of Justice	\$158,592	\$34,692	21.9%
Social Security Administration	\$324,778	\$25,149	7.7%
Appalachian Regional Commission	\$7,500	\$7,500	100.0%
<b>TOTAL</b>	<b>\$132,561,583,438</b>	<b>\$3,388,024,318</b>	<b>2.6%</b>

\*Accounts for ED's Federal Student Financial Assistance awards

As noted in Part I, R&D funding was the second largest category of federal support for HBCUs in FY 2009, representing 48.2 percent of the support outside of the funds provided by ED.

- Table 5 shows the departments and agencies that provided R&D awards to HBCUs in FY 2009. Of these contributors, four accounted for over 80 percent of the total R&D funds administered to HBCUs: **HHS, Agriculture, NSF, and Defense.**
- The HBCUs' R&D activities contribute to increasing the nation's progress in fields of education, science, technology and engineering, thereby helping to ensure the nation's ability to remain globally competitive, prosperous and secure. These R&D activities also benefit new and existing health and safety initiatives aimed at enhancing the lives of America's citizens.

**Table 5. Research & Development Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
U.S. Department of Health & Human Services	\$18,177,219,591	\$147,577,807	0.8%
U.S. Department of Agriculture	\$889,670,401	\$64,998,579	7.3%
National Science Foundation	\$3,688,440,375	\$47,399,308	1.3%
U.S. Department of Defense	\$4,527,191,750	\$27,494,876	0.6%
U.S. Department of Energy	\$2,456,621,615	\$21,741,428	0.9%
National Aeronautics and Space Administration	n/a	\$15,229,297	n/a
U.S. Agency for International Development	\$257,441,711	\$15,000,472	5.8%
U.S. Department of Commerce	\$376,217,819	\$7,544,661	2.0%
U.S. Department of Homeland Security	\$38,502,511	\$4,831,560	12.5%
U.S. Department of Transportation	\$138,946,020	\$2,851,409	2.1%
U.S. Department of Justice	\$974,394	\$974,394	100.0%
National Endowment for the Humanities	\$30,806,655	\$318,700	1.0%
U.S. Nuclear Regulatory Commission	\$2,205,894	\$205,930	9.3%
U.S. Environmental Protection Agency	n/a	\$134,966	n/a
U.S. Department of the Interior	\$30,781,536	\$79,000	0.3%
U.S. Social Security Administration	\$7,916,467	\$0	0.0%
Appalachian Regional Commission	\$497,231	\$0	0.0%
<b>Total</b>	<b>\$30,623,433,970</b>	<b>\$356,382,387</b>	<b>1.2%</b>

As depicted in Figure 1, **training** accounted for 15.7 percent of the federal support grants outside of the funds provided by ED in FY 2009.

As shown in Table 6, three agencies provided over 85 percent of the funds awarded to HBCUs in this category: **NSF, HHS, and Veterans Affairs**.

**Table 6. Training Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
National Science Foundation	\$610,380,295	\$45,769,721	7.5%
U.S. Department of Health & Human Services	\$1,354,178,242	\$40,296,429	3.0%
U.S. Department of Veterans Affairs	\$1,383,000,000	\$15,259,870	1.1%
National Aeronautics and Space Administration	n/a	\$3,715,109	n/a
U.S. Department of Labor	\$144,176,538	\$3,504,702	2.4%
U.S. Department of State	\$18,643,785	\$3,127,091	16.8%
U.S. Department of Agriculture	\$11,981,667	\$1,518,176	12.7%
U.S. Nuclear Regulatory Commission	\$10,031,466	\$853,430	8.5%
U.S. Department of Transportation	\$7,662,352	\$832,162	10.9%
U.S. Department of the Interior	\$15,079,960	\$255,467	1.7%
U.S. Environmental Protection Agency	n/a	\$246,500	n/a
U.S. Department of Justice	\$182,719	\$182,719	100.0%
U.S. Department of Energy	\$136,000	\$86,000	63.2%
U.S. Department of Homeland Security	\$70,405	\$55,905	79.4%
U.S. Agency for International Development	n/a	\$11,400	n/a
U.S. Department of Commerce	\$45,942	\$5,652	12.3%
U.S. Social Security Administration	\$93,083	\$1,024	1.1%
Appalachian Regional Commission	\$39,902	\$0	0.0%
<b>Total</b>	<b>\$3,555,702,356</b>	<b>\$115,721,357</b>	<b>3.3%</b>

The **facilities & equipment (F&E)** category includes funds for construction projects, major repairs, equipment maintenance, and land use.

As shown in Table 7, three departments accounted for over 90 percent of the total F&E funds awarded to HBCUs in FY 2009: **Homeland Security, Agriculture, and Interior.**

**Table 7. Facilities & Equipment Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
U.S. Department of Homeland Security	\$358,363,665	\$33,332,688	9.3%
U.S. Department of Agriculture	\$19,723,167	\$18,028,750	91.4%
U.S. Department of the Interior	\$14,796,081	\$14,262,000	96.4%
U.S. Department of Health & Human Services	\$5,236,682	\$3,385,800	64.7%
U.S. Department of Justice	\$1,401,784	\$1,401,784	100.0%
National Science Foundation	\$181,884,472	\$594,648	0.3%
U.S. Department of Transportation	\$90,398	\$75,890	84.0%
U.S. Department of Commerce	\$108,540,592	\$41,264	0.0%
U.S. Environmental Protection Agency	\$0	\$5,650	n/a
U.S. Department of Housing & Urban Development	\$3,999,243	\$0	0.0%
National Endowment for the Humanities	\$468,717	\$0	0.0%
U.S. Social Security Administration	\$76,500	\$0	0.0%
U.S. Department of Energy	\$66,200	\$0	0.0%
U.S. Department of the Treasury	\$8,100	\$0	0.0%
<b>Total</b>	<b>\$694,655,601</b>	<b>\$71,128,474</b>	<b>10.2%</b>

*Note:* HBCUs received \$61,000,000 in loans from ED in FY 2009 as part of the Department's HBCU Capital Financing Program. The program provides HBCUs with access to capital financing or refinancing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation.

Funds allocated as **direct institutional subsidies (DIS)** can be used for education and general expenses, in which the federal government either places no restrictions or permits its use across a broad range of funding areas.

As evidenced in Table 8, **Agriculture** provided over 90 percent of this support for HBCUs in FY 2009.

**Table 8. Direct Institutional Subsidies Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
U.S. Department of Agriculture	\$633,687,234	\$47,399,881	7.5%
U.S. Department of Homeland Security	\$7,339,240	\$2,655,460	36.2%
Corporation for National and Community Service	\$22,444,414	\$1,075,745	4.8%
U.S. Department of Veterans Affairs	\$3,869,205	\$51,063	1.3%
U.S. Environmental Protection Agency	n/a	\$37,400	n/a
U.S. Department of Energy	\$3,454,397	\$0	0.0%
U.S. Department of Health & Human Services	\$3,272,714	\$0	0.0%
<b>TOTAL</b>	<b>\$674,067,204</b>	<b>\$51,219,549</b>	<b>7.6%</b>

Table 9 shows that the following five departments accounted for over 85 percent of the total funds for **technical assistance and other services** awarded to HBCUs in FY 2009: **Defense, HUD, Energy, Agriculture, and HHS.**

**Table 9. Technical Assistance and Other Services Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
U.S. Department of Defense	\$670,160,616	\$10,560,005	1.6%
U.S. Department of Housing & Urban Development	\$18,126,742	\$9,000,000	49.7%
U.S. Department of Energy	\$9,534,464	\$7,736,141	81.1%
U.S. Department of Agriculture	\$130,721,249	\$4,532,255	3.5%
U.S. Department of Health & Human Services	\$153,195,608	\$3,969,718	2.6%
U.S. Small Business Administration	\$104,500,000	\$2,495,784	2.4%
U.S. Department of Transportation	\$5,829,188	\$1,320,110	22.6%
National Aeronautics and Space Administration	n/a	\$960,517	n/a
U.S. Department of the Interior	\$36,551,454	\$364,126	1.0%
U.S. Department of Commerce	\$8,707,243	\$345,080	4.0%
U.S. Department of Justice	\$278,991	\$278,991	100.0%
U.S. Environmental Protection Agency	n/a	\$126,405	n/a
U.S. Department of the Treasury	\$16,834,260	\$96,051	0.6%
National Endowment for the Arts	\$5,807,505	\$85,000	1.5%
Appalachian Regional Commission	\$431,602	\$66,500	15.4%
Central Intelligence Agency	n/a	\$42,753	n/a
U.S. Department of State	\$12,586	\$8,665	68.8%
Social Security Administration	\$242,570	\$7,608	3.1%
U.S. Department of Labor	\$12,944,099	\$0	0.0%
<b>TOTAL</b>	<b>\$1,173,878,177</b>	<b>\$41,995,709</b>	<b>3.6%</b>

## APPENDIX A -- Executive Order 13256

### Executive Order—White House Initiative on Historically Black Colleges and Universities

By the authority vested in me as President by the Constitution and the laws of the United States of America, in order to advance the development of the Nation's full human potential and to advance equal opportunity in higher education, to strengthen the capacity of historically black colleges and universities to provide the highest quality education, and to increase opportunities for these institutions to participate in and benefit from Federal programs, as do other colleges and universities, it is hereby ordered as follows:

#### Section 1.

There is established, in the Office of the Secretary of Education, a Presidential advisory committee entitled the "President's Board of Advisors on Historically Black Colleges and Universities" (Board). The Board shall prepare and issue an annual report to the President on the results of the participation of historically black colleges and universities in Federal programs. The Board also shall provide advice to the President and to the Secretary of Education (Secretary) regarding the needs of historically black colleges and universities in the areas of infrastructure, academic programs, and faculty and institutional development. In the annual report to the President, the Board shall make recommendations on how to increase the private sector role, including the role of private foundations, in strengthening historically black colleges and universities. Particular emphasis should also be given in the report to enhancing institutional planning and development, strengthening fiscal stability and financial management, and improving institutional infrastructure, including the use of technology, to ensure the long-term viability and enhancement of these institutions.

#### Section 2.

The Board shall be appointed by the President. The Board membership shall include sitting presidents of historically black colleges and universities, representatives of other higher education institutions, business and financial leaders, representatives of private foundations, and secondary school administrators. The President shall designate a Chair or Co-Chairs from among the members.

#### Section 3.

The White House Initiative on Historically Black Colleges and Universities (Initiative), located in the Office of the Secretary of Education, shall: (1) provide staff, resources, and assistance to the Board; (2) assist the Secretary in performing the liaison function between the executive branch and historically black colleges and universities; and (3) serve the Secretary in carrying out the responsibilities described in section 6 of this order.

#### Section 4.

To carry out this order, each executive department and agency identified by the Secretary may, consistent with applicable law and regulations, enter into appropriate grants, contracts, or cooperative agreements with historically black colleges and universities. The head of each department or agency so identified shall establish an annual plan that will establish clear goals for how the department or agency intends to increase the capacity of historically black colleges and universities to compete effectively for grants, contracts, or cooperative agreements and to encourage historically black colleges and universities to participate in Federal programs. The department's or agency's annual goal should be clearly reflected in the department's or agency's annual budget submission to the Office of Management and Budget. To facilitate the attainment of these goals, the head of each department or agency identified by the Secretary shall provide, as appropriate, technical assistance and information to HBCUs regarding the program activities of the department or agency and the preparation of applications or proposals for grants, contracts, or cooperative agreements.

#### Section 5.

Each executive department and agency identified by the Secretary shall appoint a senior official, who is a full-time officer of the Federal Government, to report directly to the department or agency head with respect to department or agency activity under this order, and to serve as liaison to the Board and to the Initiative. To the extent permitted by law and regulations, each executive department and agency identified by the Secretary shall provide appropriate information requested by the Board and staff pursuant to the order.

**Section 6.**

Each executive department and agency identified by the Secretary shall develop an annual plan for, and shall document the agency's effort in, increasing the capacity of historically black colleges and universities to participate in Federal programs. Each department's and agency's plan shall describe new or existing department and agency programs and measurable objectives for proposed department and agency actions, in connection with those programs, to achieve the purposes of this order. These plans shall be submitted at such time and in such form as the Secretary shall require. In consultation with the participating departments and agencies, the Secretary shall review the plans and develop, with the advice of the Board, an integrated Annual Federal Plan for Assistance to Historically Black Colleges and Universities for submission to the President. The Secretary shall provide the president of each historically black college and university with a copy of, and an opportunity to comment on, the proposed Annual Federal Plan prior to its submission to the President. Each participating department and agency shall submit to the Secretary an Annual Performance Report that shall measure each department's and agency's performance against the objectives set forth in the department's or agency's annual plan. The Secretary shall be responsible for monitoring compliance with the Annual Federal Plan after it is approved by the President.

**Section 7.**

In developing its annual plan, each executive department and agency identified by the Secretary shall emphasize programs and activities that develop the capacity of historically black colleges and universities to contribute to the development of human capital and to strengthen America's economic and technological base through: (1) infrastructure development and acquisitions for instruction and research; (2) student and faculty doctoral fellowships and faculty development; (3) domestic and international faculty and student exchanges and study-abroad opportunities; (4) undergraduate and graduate student internships; and (5) summer, part-time, and permanent employment opportunities.

**Section 8.**

Each year, the Board shall report to the President on the progress achieved in enhancing the capacity of historically black colleges and universities to serve their students, including findings and recommendations for individual departments and agencies in connection with their Annual Performance Reports, as described in section 6 of this order.

**Section 9.**

The Board, in consultation with the Department of Education and other executive departments and agencies, shall develop a Private Sector Strategy to assist historically black colleges and universities in: (1) increasing voluntary private-sector contributions to support the enhancement of endowments and the overall financial stability of such institutions; (2) improving and enhancing the quality and number of private-sector partnerships focused on academic program development, student achievement and faculty development, cooperative research and development projects, and faculty exchanges; and (3) improving information management, and facilities, and strengthening academic course offerings.

**Section 10.**

1. The provisions in this Executive Order shall be implemented to the fullest extent permitted by law.
2. The Department of Education shall provide funding and administrative support for the Board and the Initiative.
3. Members of the Board shall serve without compensation, but shall be reimbursed for all travel expenses, including per diem in lieu of subsistence, as authorized by law;
4. Insofar as the Federal Advisory Committee Act, as amended, may apply to the Board, any functions of the President under that Act, except for those in section 6 of that Act, shall be performed by the Department of Education, in accordance with the guidelines that have been issued by the Administrator of General Services.

**Section 11.**

Executive Order 12876 of November 1, 1993, as amended, is hereby revoked.

GEORGE W. BUSH  
THE WHITE HOUSE  
February 12, 2002

## APPENDIX B -- Participating Federal Departments and Agencies

Departments and Agencies
Appalachian Regional Commission
Broadcasting Board of Governors
Central Intelligence Agency
Corporation for National and Community Service
Environmental Protection Agency
Equal Employment Opportunity Commission
National Aeronautics and Space Administration
National Credit Union Administration
National Endowment for the Arts
National Endowment for the Humanities
National Science Foundation
Office of Personnel Management
Social Security Administration
U.S. Department of Agriculture
U.S. Department of Commerce
U.S. Department of Defense
U.S. Department of Education
U.S. Department of Energy
U.S. Department of Health & Human Services
U.S. Department of Homeland Security
U.S. Department of Housing & Urban Development
U.S. Department of the Interior
U.S. Department of Justice
U.S. Department of Labor
U.S. Department of State
U.S. Department of Transportation
U.S. Department of the Treasury
U.S. Department of Veterans Affairs
U.S. Agency for International Development
U.S. Nuclear Regulatory Commission
U.S. Small Business Administration

## APPENDIX C -- Historically Black Colleges and Universities (HBCUs)

FOUR-YEAR PUBLIC INSTITUTIONS	STATE
Alabama A&M University	Alabama
Alabama State University	Alabama
University of Arkansas at Pine Bluff	Arkansas
Delaware State University	Delaware
University of the District of Columbia	District of Columbia
Florida A&M University	Florida
Albany State University	Georgia
Fort Valley State University	Georgia
Savannah State University	Georgia
Kentucky State University	Kentucky
Grambling State University	Louisiana
Southern University and A&M College	Louisiana
Southern University at New Orleans	Louisiana
Bowie State University	Maryland
Coppin State University	Maryland
Morgan State University	Maryland
University of Maryland Eastern Shore	Maryland
Alcorn State University	Mississippi
Jackson State University	Mississippi
Mississippi Valley State University	Mississippi
Harris-Stowe State College	Missouri
Lincoln University of Missouri	Missouri
Elizabeth City State University	North Carolina
Fayetteville State University	North Carolina
North Carolina A&T State University	North Carolina
North Carolina Central University	North Carolina
Winston-Salem State University	North Carolina
Central State University	Ohio
Langston University	Oklahoma
Cheyney University of Pennsylvania	Pennsylvania
Lincoln University	Pennsylvania
South Carolina State University	South Carolina
Tennessee State University	Tennessee
Prairie View A&M University	Texas
Texas Southern University	Texas
University of the Virgin Islands	U.S. Virgin Islands
Norfolk State University	Virginia
Virginia State University	Virginia
Bluefield State University	West Virginia
West Virginia State University	West Virginia

FOUR-YEAR PRIVATE INSTITUTIONS	STATE
Concordia College, Selma	Alabama
Miles College	Alabama
Oakwood University	Alabama
Selma University	Alabama
Stillman College	Alabama
Talladega College	Alabama
Tuskegee University	Alabama
Arkansas Baptist College	Arkansas
Philander Smith College	Arkansas
Howard University	District of Columbia
Bethune-Cookman University	Florida
Edward Waters College	Florida
Florida Memorial University	Florida
Clark Atlanta University	Georgia
Interdenominational Theological Center	Georgia
Morehouse College	Georgia
Morehouse School of Medicine	Georgia
Morris Brown College	Georgia
Paine College	Georgia
Spelman College	Georgia
Dillard University	Louisiana
Xavier University of Louisiana	Louisiana
Rust College	Mississippi
Tougaloo College	Mississippi
Barber-Scotia College	North Carolina
Bennett College	North Carolina
Johnson C. Smith University	North Carolina
Livingstone College	North Carolina
Shaw University	North Carolina
St. Augustine's College	North Carolina
Wilberforce University	Ohio
Allen University	South Carolina
Benedict College	South Carolina
Clafin University	South Carolina
Morris College	South Carolina
Voorhees College	South Carolina
Fisk University	Tennessee
Knoxville College	Tennessee
Lane College	Tennessee

<b>FOUR-YEAR PRIVATE Institutions (continued)</b>	<b>STATE</b>
LeMoyné-Owen College	Tennessee
Meharry Medical College	Tennessee
Huston-Tillotson University	Texas
Jarvis Christian College	Texas
Paul Quinn College	Texas
Southwestern Christian College	Texas
Texas College	Texas
Wiley College	Texas
Hampton University	Virginia
Saint Paul's College	Virginia
Virginia Union University	Virginia
Virginia University of Lynchburg	Virginia

<b>TWO-YEAR PUBLIC INSTITUTIONS</b>	<b>STATE</b>
Bishop State Community College	Alabama
Shelton State Community College - C.A. Fredd Campus	Alabama
Gadsden State Community College, Valley Street	Alabama
J.F. Drake State Technical College	Alabama
Lawson State Community College	Alabama
Trenholm State Technical College	Alabama
Southern University at Shreveport	Louisiana
Coahoma Community College	Mississippi
Hinds Community College, Utica	Mississippi
Denmark Technical College	South Carolina
St. Phillip's College	Texas

<b>TWO-YEAR PRIVATE INSTITUTIONS</b>	<b>STATE</b>
Shorter College	Arkansas
Lewis College of Business	Michigan
Clinton Junior College	South Carolina

## APPENDIX D -- Referenced Acronyms

Acronym	Meaning
<b>ARC</b>	Appalachian Regional Commission
<b>BBG</b>	Broadcasting Board of Governors
<b>CIA</b>	Central Intelligence Agency
<b>CNCS</b>	Corporation for National and Community Service
<b>DEA</b>	Drug Enforcement Agency
<b>DHS</b>	U.S. Department of Homeland Security
<b>DOC</b>	U.S. Department of Commerce
<b>DOD</b>	U.S. Department of Defense
<b>DOE</b>	U.S. Department of Energy
<b>DOI</b>	U.S. Department of the Interior
<b>DOJ</b>	U.S. Department of Justice
<b>DOL</b>	U.S. Department of Labor
<b>DOT</b>	U.S. Department of Transportation
<b>ED</b>	U.S. Department of Education
<b>EEOC</b>	Equal Employment Opportunity Commission
<b>EO</b>	Executive Order
<b>EPA</b>	U.S. Environmental Protection Agency
<b>F&amp;E</b>	Facilities and Equipment
<b>FY</b>	Fiscal Year
<b>HBCU</b>	Historically Black Colleges and Universities
<b>HHS</b>	U.S. Department of Health & Human Services
<b>HUD</b>	U.S. Department of Housing & Urban Development
<b>IFI</b>	Internships, Fellowships and IPAs
<b>IHE</b>	Institutions of Higher Education
<b>IPA</b>	Intergovernmental Personnel Act
<b>MUREP</b>	Minority University Research and Education Program
<b>NASA</b>	National Aeronautics and Space Administration
<b>NCUA</b>	National Credit Union Administration

<b>Acronym</b>	<b>Meaning (continued)</b>
<b>NEA</b>	National Endowment for the Arts
<b>NEH</b>	National Endowment for the Humanities
<b>NRC</b>	U.S. Nuclear Regulatory Commission
<b>NRESS</b>	NASA Research and Education and Support Services
<b>NSF</b>	National Science Foundation
<b>OMB</b>	Office of Management and Budget
<b>OPM</b>	Office of Personnel Management
<b>PBA</b>	President's Board of Advisors (The Board)
<b>PRISM</b>	Proactive Recruitment in Introductory Science and Mathematics
<b>R&amp;D</b>	Research and Development
<b>SBA</b>	U.S. Small Business Administration
<b>SSA</b>	U.S. Social Security Administration
<b>S&amp;T</b>	Science and Technology
<b>STA</b>	Scholarship and Tuition Assistance
<b>STATE</b>	U.S. Department of State
<b>STEM</b>	Science, Technology, Engineering and Mathematics
<b>TA</b>	Technical Assistance
<b>TREASURY</b>	U.S. Department of the Treasury
<b>USAID</b>	U.S. Agency for International Development
<b>USDA</b>	U.S. Department of Agriculture
<b>VA</b>	U.S. Department of Veterans Affairs
<b>WHI</b>	White House Initiative (The Initiative)
<b>WHI-HBCU</b>	White House Initiative on Historically Black Colleges and Universities

## **APPENDIX E**

### **Copies of Tables in the Text with Data Rows Ordered Alphabetically by Department or Agency**

*NOTE: Table 2 and Table 3 are not included, as they do not contain department or agency names*

Table 1A. Federal Funds Awarded in FY 2009 to All IHEs and to HBCUs

Department/Agency	IHEs	HBCUs	HBCU % Share
Appalachian Regional Commission	\$1,259,035	\$74,000	5.9%
Broadcasting Board of Governors	n/a	\$10,085	n/a
Central Intelligence Agency	n/a	\$172,253	n/a
Corporation for National and Community Service	\$175,350,000	\$1,573,308	0.9%
Environmental Protection Agency	\$99,156,307	\$1,907,995	1.9%
Equal Employment Opportunity Commission	n/a	n/a	n/a
National Aeronautics and Space Administration	\$1,086,571,228	\$23,296,224	2.1%
National Credit Union Administration	\$55,500	\$0	0.0%
National Endowment for the Arts	\$5,807,505	\$85,000	1.5%
National Endowment for the Humanities	\$40,798,897	\$615,281	1.5%
National Science Foundation	\$4,685,163,350	\$95,033,885	2.0%
Office of Personnel Management	\$1,850,297	\$497,929	26.9%
Social Security Administration	\$21,112,264	\$1,292,537	6.1%
U.S. Agency for International Development	\$257,441,711	\$19,783,053	7.7%
U.S. Department of Agriculture	\$1,756,500,127	\$147,375,124	8.4%
U.S. Department of Commerce	\$504,215,098	\$15,003,948	3.0%
U.S. Department of Defense	\$5,482,754,494	\$46,486,209	0.8%
U.S. Department of Education	\$132,648,491,528	\$4,041,270,491	3.0%
U.S. Department of Energy	\$2,473,684,309	\$31,382,525	1.3%
U.S. Department of Health & Human Services	\$19,940,250,968	\$213,416,395	1.1%
U.S. Department of Homeland Security	\$433,066,524	\$42,543,303	9.8%
U.S. Department of Housing & Urban Development	\$22,125,985	\$9,000,000	40.7%
U.S. Department of Justice	\$7,247,734	\$5,215,918	72.0%
U.S. Department of Labor	\$162,079,932	\$3,504,702	2.2%
U.S. Department of State	\$182,173,941	\$6,942,541	3.8%
U.S. Department of the Interior	\$112,597,695	\$16,595,991	14.7%
U.S. Department of the Treasury	\$18,959,255	\$117,952	0.6%
U.S. Department of Transportation	\$158,300,242	\$7,484,771	4.7%
U.S. Department of Veterans Affairs	\$4,067,939,244	\$45,849,634	1.1%
U.S. Nuclear Regulatory Commission	\$22,627,517	\$1,669,933	7.4%
U.S. Small Business Administration	\$104,500,000	\$2,495,784	2.4%
<b>TOTAL</b>	<b>\$174,472,080,687</b>	<b>\$4,780,696,771</b>	<b>2.7%</b>

**Table 4A. Scholarships and Tuition Assistance Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
Appalachian Regional Commission	\$7,500	\$7,500	100.0%
Corporation for National and Community Service	\$152,905,586	\$389,939	0.3%
National Aeronautics and Space Administration	\$0	\$299,357	n/a
Social Security Administration	\$324,778	\$25,149	7.7%
U.S. Department of Agriculture	\$1,040,835	\$829,394	79.7%
U.S. Department of Commerce	\$2,239,700	\$2,043,161	91.2%
U.S. Department of Defense	\$285,402,128	\$8,431,328	3.0%
U.S. Department of Education	\$129,309,571,000	\$3,329,362,906	2.6%
U.S. Department of Energy	\$752,145	\$196,815	26.2%
U.S. Department of Health & Human Services	\$91,716,090	\$13,189,145	14.4%
U.S. Department of Homeland Security	\$15,866,400	\$218,011	1.4%
U.S. Department of Justice	\$158,592	\$34,692	21.9%
U.S. Department of State	\$20,198,205	\$848,634	4.2%
U.S. Department of the Interior	\$606,850	\$84,000	13.8%
U.S. Department of Transportation	\$3,159,930	\$1,567,087	49.6%
U.S. Department of Veterans Affairs	\$2,672,688,300	\$29,886,627	1.1%
U.S. Nuclear Regulatory Commission	\$4,945,399	\$610,573	12.3%
<b>TOTAL</b>	<b>\$132,561,583,438</b>	<b>\$3,388,024,318</b>	<b>2.6%</b>

**Table 5A. Research & Development Funds Awarded to All IHEs and to HBCUs in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
Appalachian Regional Commission	\$497,231	\$0	0.0%
National Aeronautics and Space Administration	n/a	\$15,229,297	n/a
National Endowment for the Humanities	\$30,806,655	\$318,700	1.0%
National Science Foundation	\$3,688,440,375	\$47,399,308	1.3%
U.S. Agency for International Development	\$257,441,711	\$15,000,472	5.8%
U.S. Department of Agriculture	\$889,670,401	\$64,998,579	7.3%
U.S. Department of Commerce	\$376,217,819	\$7,544,661	2.0%
U.S. Department of Defense	\$4,527,191,750	\$27,494,876	0.6%
U.S. Department of Energy	\$2,456,621,615	\$21,741,428	0.9%
U.S. Department of Health & Human Services	\$18,177,219,591	\$147,577,807	0.8%
U.S. Department of Homeland Security	\$38,502,511	\$4,831,560	12.5%
U.S. Department of Justice	\$974,394	\$974,394	100.0%
U.S. Department of the Interior	\$30,781,536	\$79,000	0.3%
U.S. Department of Transportation	\$138,946,020	\$2,851,409	2.1%
U.S. Environmental Protection Agency	n/a	\$134,966	n/a
U.S. Nuclear Regulatory Commission	\$2,205,894	\$205,930	9.3%
U.S. Social Security Administration	\$7,916,467	\$0	0.0%
<b>Total</b>	<b>\$30,623,433,970</b>	<b>\$356,382,387</b>	<b>1.2%</b>

**Table 6A. Training Funds Awarded to All IHEs and to HBCUs in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
Appalachian Regional Commission	\$39,902	\$0	0.0%
National Aeronautics and Space Administration	n/a	\$3,715,109	n/a
National Science Foundation	\$610,380,295	\$45,769,721	7.5%
U.S. Agency for International Development	n/a	\$11,400	n/a
U.S. Department of Agriculture	\$11,981,667	\$1,518,176	12.7%
U.S. Department of Commerce	\$45,942	\$5,652	12.3%
U.S. Department of Energy	\$136,000	\$86,000	63.2%
U.S. Department of Health & Human Services	\$1,354,178,242	\$40,296,429	3.0%
U.S. Department of Homeland Security	\$70,405	\$55,905	79.4%
U.S. Department of Justice	\$182,719	\$182,719	100.0%
U.S. Department of Labor	\$144,176,538	\$3,504,702	2.4%
U.S. Department of State	\$18,643,785	\$3,127,091	16.8%
U.S. Department of the Interior	\$15,079,960	\$255,467	1.7%
U.S. Department of Transportation	\$7,662,352	\$832,162	10.9%
U.S. Department of Veterans Affairs	\$1,383,000,000	\$15,259,870	1.1%
U.S. Environmental Protection Agency	n/a	\$246,500	n/a
U.S. Nuclear Regulatory Commission	\$10,031,466	\$853,430	8.5%
U.S. Social Security Administration	\$93,083	\$1,024	1.1%
<b>Total</b>	<b>\$3,555,702,356</b>	<b>\$115,721,357</b>	<b>3.3%</b>

**Table 7A. Facilities & Equipment Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
National Endowment for the Humanities	\$468,717	\$0	0.0%
National Science Foundation	\$181,884,472	\$594,648	0.3%
U.S. Department of Agriculture	\$19,723,167	\$18,028,750	91.4%
U.S. Department of Commerce	\$108,540,592	\$41,264	0.0%
U.S. Department of Energy	\$66,200	\$0	0.0%
U.S. Department of Health & Human Services	\$5,236,682	\$3,385,800	64.7%
U.S. Department of Homeland Security	\$358,363,665	\$33,332,688	9.3%
U.S. Department of Housing & Urban Development	\$3,999,243	\$0	0.0%
U.S. Department of Justice	\$1,401,784	\$1,401,784	100.0%
U.S. Department of the Interior	\$14,796,081	\$14,262,000	96.4%
U.S. Department of the Treasury	\$8,100	\$0	0.0%
U.S. Department of Transportation	\$90,398	\$75,890	84.0%
U.S. Environmental Protection Agency	\$0	\$5,650	n/a
U.S. Social Security Administration	\$76,500	\$0	0.0%
<b>Total</b>	<b>\$694,655,601</b>	<b>\$71,128,474</b>	<b>10.2%</b>

**Table 8A. Direct Institutional Subsidies Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
Corporation for National and Community Service	\$22,444,414	\$1,075,745	4.8%
U.S. Department of Agriculture	\$633,687,234	\$47,399,881	7.5%
U.S. Department of Energy	\$3,454,397	\$0	0.0%
U.S. Department of Health & Human Services	\$3,272,714	\$0	0.0%
U.S. Department of Homeland Security	\$7,339,240	\$2,655,460	36.2%
U.S. Department of Veterans Affairs	\$3,869,205	\$51,063	1.3%
U.S. Environmental Protection Agency	n/a	\$37,400	n/a
<b>TOTAL</b>	<b>\$674,067,204</b>	<b>\$51,219,549</b>	<b>7.6%</b>

**Table 9A. Technical Assistance and Other Services Funds Awarded in FY 2009**

Department/Agency	IHEs	HBCUs	HBCU % Share
Appalachian Regional Commission	\$431,602	\$66,500	15.4%
Central Intelligence Agency	n/a	\$42,753	n/a
National Aeronautics and Space Administration	n/a	\$960,517	n/a
National Endowment for the Arts	\$5,807,505	\$85,000	1.5%
Social Security Administration	\$242,570	\$7,608	3.1%
U.S. Department of Agriculture	\$130,721,249	\$4,532,255	3.5%
U.S. Department of Commerce	\$8,707,243	\$345,080	4.0%
U.S. Department of Defense	\$670,160,616	\$10,560,005	1.6%
U.S. Department of Energy	\$9,534,464	\$7,736,141	81.1%
U.S. Department of Health & Human Services	\$153,195,608	\$3,969,718	2.6%
U.S. Department of Housing & Urban Development	\$18,126,742	\$9,000,000	49.7%
U.S. Department of Justice	\$278,991	\$278,991	100.0%
U.S. Department of Labor	\$12,944,099	\$0	0.0%
U.S. Department of State	\$12,586	\$8,665	68.8%
U.S. Department of the Interior	\$36,551,454	\$364,126	1.0%
U.S. Department of the Treasury	\$16,834,260	\$96,051	0.6%
U.S. Department of Transportation	\$5,829,188	\$1,320,110	22.6%
U.S. Environmental Protection Agency	n/a	\$126,405	n/a
U.S. Small Business Administration	\$104,500,000	\$2,495,784	2.4%
<b>TOTAL</b>	<b>\$1,173,878,177</b>	<b>\$41,995,709</b>	<b>3.6%</b>